

**UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF CALIFORNIA**

In re: Professional Financial Investors, Inc.

Case No. 20-30604

**CHAPTER 11
MONTHLY OPERATING REPORT
(GENERAL BUSINESS CASE)**

SUMMARY OF FINANCIAL STATUS

MONTH ENDED: 9/30/2020

PETITION DATE: 7/26/2020

1. Debtor in possession (or trustee) hereby submits this Monthly Operating Report on the Accrual Basis of accounting (or if checked here the Office of the U.S. Trustee or the Court has approved the Cash Basis of Accounting for the Debtor).
Dollars reported in \$1

	<u>End of Current Month</u>	<u>End of Prior Month</u>	<u>As of Petition Filing</u>
1. Asset and Liability Structure	\$2,251,901	\$2,068,529	
a. Current Assets	\$80,129,199	\$80,299,292	\$80,356,451
b. Total Assets	\$483,759	\$565,142	
c. Current Liabilities	\$81,216,566	\$81,324,679	\$81,004,858
d. Total Liabilities			
3. Statement of Cash Receipts & Disbursements for Month	<u>Current Month</u>	<u>Prior Month</u>	<u>Cumulative (Case to Date)</u>
a. Total Receipts	\$940,771	\$1,159,534	\$2,191,728
b. Total Disbursements	\$814,497	\$970,134	\$1,784,631
c. Excess (Deficiency) of Receipts Over Disbursements (a - b)	\$126,274	\$189,400	\$407,097
d. Cash Balance Beginning of Month	\$727,074	\$537,674	\$446,251
e. Cash Balance End of Month (c + d)	\$853,348	\$727,074	\$853,348
4. Profit/(Loss) from the Statement of Operations	<u>Current Month</u>	<u>Prior Month</u>	<u>Cumulative (Case to Date)</u>
5. Account Receivables (Pre and Post Petition)	(\$471,290)	(\$1,068,356)	(\$1,539,646)
6. Post-Petition Liabilities	\$483,760	\$372,551	
7. Past Due Post-Petition Account Payables (over 30 days)	\$81,216,566	\$81,324,679	
	\$71,695	\$0	

At the end of this reporting month:

- | | | |
|--|------------|-----------|
| 8. Have any payments been made on pre-petition debt, other than payments in the normal course to secured creditors or lessors? (if yes, attach listing including date of payment, amount of payment and name of payee) | <u>Yes</u> | <u>No</u> |
| 9. Have any payments been made to professionals? (if yes, attach listing including date of payment, amount of payment and name of payee) | <u> </u> | <u>X</u> |
| 10. If the answer is yes to 8 or 9, were all such payments approved by the court? | <u> </u> | <u> </u> |
| 11. Have any payments been made to officers, insiders, shareholders, relatives? (if yes, attach listing including date of payment, amount and reason for payment, and name of payee) | <u> </u> | <u>X</u> |
| 12. Is the estate insured for replacement cost of assets and for general liability? | <u>X</u> | <u> </u> |
| 13. Are a plan and disclosure statement on file? | <u> </u> | <u>X</u> |
| 14. Was there any post-petition borrowing during this reporting period? | <u> </u> | <u>X</u> |
| 15. Check if paid: Post-petition taxes <u> </u> ; U.S. Trustee Quarterly Fees <u> </u> ; Check if filing is current for: Post-petition tax reporting and tax returns: <u> </u> .
(Attach explanation, if post-petition taxes or U.S. Trustee Quarterly Fees are not paid current or if post-petition tax reporting and tax return filings are not current.) | | |

I declare under penalty of perjury I have reviewed the above summary and attached financial statements, and after making reasonable inquiry believe these documents are correct.

Date: 10/20/2020 0:00

Responsible Individual

STATEMENT OF OPERATIONS (General Business Case)

For the Month Ended 09/30/20

MOR - NOTES TO STATEMENT OF OPERATIONS

- 19 **Depreciation:** The forecast was prepared principally on a cash basis, so depreciation expense of \$271,783
was not included, creating an unfavorable variance.

21 **Real Property Taxes:** The company received new tax bills in September of 2020 increasing the P&L accrual
to \$132,643. The forecast amount of \$94,205 was based on 2019 tax bills.

25 **Interest - Deeds of Trust:** The forecast did not include any accrual for interest on Deeds of Trust due to
uncertainty regarding future treatment.

28 **Utilities:** The \$5,886 variance was attributed to higher than anticipated consumption.

29 **Repairs & Maintenance:** The \$9,230 unfavorable variance was due to timing of work.

30 **Building Security Expenses:** The \$11,550 favorable variance was due to invoicing delays by vendor.

31 **Bad Debt Expense:** The \$22,858 favorable variance was due to deferred recognition of potential payment
defaults.

32 **Building Association Dues:** The \$11,892 favorable variance was due to lower than anticipated billings.

33 **Credit Card Charges:** The \$8,000 favorable variance was the result of recording transaction as a prepaid
expense.

34 **Automobile:** The \$3,825 favorable variance was due to timing of employee expense report submissions.

BALANCE SHEET
(General Business Case)
For the Month Ended 09/30/20

Assets		<u>From Schedules</u>	<u>Market Value</u>
Current Assets			
1	Cash and cash equivalents - unrestricted		\$753,349
2	Cash and cash equivalents - restricted		\$100,000
3	Accounts receivable (net)	A	\$483,760
4	Inventory	B	\$0
5	Prepaid expenses		\$325,545
6	Professional retainers		\$494,000
7	Other: <u>Receivables</u>		\$95,247
8			
9	Total Current Assets		<u>\$2,251,901</u>
Property and Equipment (Market Value)			
10	Real property	C	\$61,682,445
11	Machinery and equipment	D	\$1,693
12	Furniture and fixtures	D	\$332,246
13	Office equipment	D	\$97,055
14	Leasehold improvements	D	\$9,999,822
15	Vehicles	D	\$215,432
16	Other:	D	
17		D	
18		D	
19		D	
20		D	
21	Total Property and Equipment		<u>\$72,328,693</u>
Other Assets			
22	Loans to shareholders		\$0
23	Loans to affiliates		\$0
24	Other Assets		\$16,632,678
25	<u>Long Term Receivables</u>		<u>(\$11,084,073)</u>
26			
27			
28	Total Other Assets		<u>\$5,548,604</u>
29	Total Assets		<u>\$80,129,199</u>

NOTE:

Indicate the method used to estimate the market value of assets (e.g., appraisals; familiarity with comparable market prices, etc.) and the date the value was determined.

Liabilities and Equity
(General Business Case)

Liabilities From Schedules

Post-Petition

Current Liabilities

30	Salaries and wages	\$0
31	Payroll taxes	\$0
32	Real and personal property taxes	\$322,957
33	Income taxes	\$199
34	Sales taxes	\$0
35	Notes payable (short term)	\$0
36	Accounts payable (trade)	A \$143,099
37	Real property lease arrearage	\$0
38	Personal property lease arrearage	\$0
39	Accrued professional fees	\$0
40	Current portion of long-term post-petition debt (due within 12 months)	\$0
41	Other: <u>Unearned Rent</u>	\$20,771
42	<u>PPP Loan</u>	(\$3,267)
43	<u>I</u>	
44	Total Current Liabilities	<u>\$483,759</u>
45	Long-Term Post-Petition Debt, Net of Current Portion	<u>\$80,732,807</u>
46	Total Post-Petition Liabilities	<u>\$81,216,566</u>

Pre-Petition Liabilities (allowed amount)

47	Secured claims	F	\$0
48	Priority unsecured claims	F	\$0
49	General unsecured claims	F	\$0
50	Total Pre-Petition Liabilities		<u>\$0</u>
51	Total Liabilities		<u>\$81,216,566</u>

Equity (Deficit)

52	Retained Earnings/(Deficit) at time of filing	\$0
53	Common Stock	\$8,000
54	Additional paid-in capital	\$0
55	Cumulative profit/(loss) since filing of case	\$0
56	Post-petition contributions/(distributions) or (draws)	\$0
57	<u>All Other Equity</u>	(\$1,095,367)
58	Market value adjustment	\$0
59	Total Equity (Deficit)	<u>(\$1,087,367)</u>
60	Total Liabilities and Equity (Deficit)	<u>\$80,129,199</u>

SCHEDULES TO THE BALANCE SHEET
 (General Business Case)

Schedule A

Accounts Receivable and (Net) Payable

Receivables and Payables Agings	Accounts Receivable [Pre and Post Petition]	Accounts Payable [Post Petition]	Past Due Post Petition Debt
0 -30 Days	\$37,230	\$71,405	
31-60 Days	\$17,647	\$32,332	
61-90 Days	\$25	\$39,363	
91+ Days	\$428,859	\$0	
Total accounts receivable/payable	<u>\$483,760</u>	<u>\$143,099</u>	
Allowance for doubtful accounts			
Accounts receivable (net)	<u>\$483,760</u>	<u>Note 2 below</u>	

Schedule B
Inventory/Cost of Goods Sold

<u>Types and Amount of Inventory(ies)</u>	<u>Inventory(ies) Balance at End of Month</u>	<u>Cost of Goods Sold</u>
Retail/Restaurants - Product for resale	_____	_____
Distribution - Products for resale	_____	_____
Manufacturer - Raw Materials Work-in-progress Finished goods	_____	_____
Other - Explain	_____	_____
TOTAL	\$0	\$0

Method of Inventory Control

Do you have a functioning perpetual inventory system?

Yes _____ No _____

How often do you take a complete physical inventory?

Weekly _____
 Monthly _____
 Quarterly _____
 Semi-annually _____
 Annually _____

Date of last physical inventory was _____

Date of next physical inventory is _____

Note 1: The A/P Aging is \$8,105.51 lower than the General Ledger and this schedule yields a negative variance \$23,003.83 causing B/S to be understated.

Note 2: Excludes net intercompany (LP/LLC) Long Term Receivable balance totaling 11,084,073.

Inventory Valuation Methods

Indicate by a checkmark method of inventory used.

Valuation methods -

FIFO cost _____
 LIFO cost _____
 Lower of cost or market _____
 Retail method _____
 Other _____
 Explain _____

Schedule C
Real Property

Description	<u>Cost</u>	<u>Market Value</u>
Land, Building & Office Suites	\$70,459,249	
Accumulated Depreciation	(\$8,776,804)	
Total	\$0	<u>\$61,682,445</u>

Schedule D
Other Depreciable Assets

Description	<u>Cost</u>	<u>Market Value</u>
Machinery & Equipment -		
A/V Equipment		\$1,693
Total	\$0	<u>\$1,693</u>
Furniture & Fixtures -		
Furniture & Fixtures		\$343,526
Accumulated Depreciation		(\$11,281)
Total	\$0	<u>\$332,246</u>
Office Equipment -		
Computers		\$294,853
Accumulated Depreciation		(\$197,798)
Total	\$0	<u>\$97,055</u>
Leasehold Improvements -		
Tenant Improvements		\$102,074
Accumulated Depreciation Fixed Assets		(\$435,683)
Net Acquisition Assets		\$3,060,822
Net Capitalization Improvements		\$7,272,609
Total	\$0	<u>\$9,999,822</u>
Vehicles -		
Vehicles		\$344,421
Accumulated Depreciation		(\$128,989)
Total	\$0	<u>\$215,432</u>

Schedule E
Aging of Post-Petition Taxes
(As of End of the Current Reporting Period)

Taxes Payable	0-30 Days	31-60 Days	61-90 Days	91+ Days	Total
Federal					
Income Tax Withholding	\$0	\$0	\$0	\$0	\$0
FICA - Employee	\$0	\$0	\$0	\$0	\$0
FICA - Employer	\$0	\$0	\$0	\$0	\$0
Unemployment (FUTA)	\$0	\$0	\$0	\$0	\$0
Income	\$0	\$0	\$0	\$0	\$0
Other (Attach List)	\$0	\$0	\$0	\$0	\$0
Total Federal Taxes	\$0	\$0	\$0	\$0	\$0
State and Local					
Income Tax Withholding	\$0	\$0	\$0	\$0	\$0
Unemployment (UT)	\$0	\$0	\$0	\$0	\$0
Disability Insurance (DI)	\$0	\$0	\$0	\$0	\$0
Empl. Training Tax (ETT)	\$0	\$0	\$0	\$0	\$0
Sales	\$0	\$0	\$0	\$0	\$0
Excise	\$0	\$0	\$0	\$0	\$0
Real property	\$0	\$0	\$0	\$0	\$0
Personal property	\$0	\$0	\$0	\$0	\$0
Income	\$0	\$0	\$0	\$0	\$0
Other (Attach List)	\$0	\$0	\$0	\$0	\$0
Total State & Local Taxes	\$0	\$0	\$0	\$0	\$0
Total Taxes	\$0	\$0	\$0	\$0	\$0

Schedule F
Pre-Petition Liabilities

List Total Claims For Each Classification -

	Claimed Amount	Allowed Amount (b)
Secured claims (a)	\$0	\$0
Priority claims other than taxes	\$0	\$0
Priority tax claims	\$0	\$0
General unsecured claims	\$0	\$0

- (a) List total amount of claims even it under secured.
- (b) Estimated amount of claim to be allowed after compromise or litigation. As an example, you are a defendant in a lawsuit alleging damage of \$10,000,000 and a proof of claim is filed in that amount. You believe that you can settle the case for a claim of \$3,000,000. For Schedule F reporting purposes you should list \$10,000,000 as the Claimed Amount and \$3,000,000 as the Allowed Amount.

Schedule G
Rental Income Information
Not applicable to General Business Cases

Schedule H
Recapitulation of Funds Held at End of Month

	Account 1	Account 2	Account 3	Account 4
Bank				
Account Type				
Account No.				
Account Purpose				
Balance, End of Month				
Total Funds on Hand for all Accounts	\$0			

Attach copies of the month end bank statement(s), reconciliation(s), and the check register(s) to the Monthly Operating Report.

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS

Increase/(Decrease) in Cash and Cash Equivalents

For the Month Ended 09/30/20

		Actual Current Month	Cumulative (Case to Date)
Cash Receipts			
1	Rent/Leases Collected	\$577,499	\$1,452,920 *
2	Cash Received from Sales	<u>\$2,387</u>	<u>\$2,387</u>
3	Interest Received	<u> </u>	<u> </u>
4	Borrowings	<u> </u>	<u> </u>
5	Funds from Shareholders, Partners, or Other Insiders	<u> </u>	<u> </u>
6	Capital Contributions	<u> </u>	<u> </u>
7	Management Fees and Admin Costs	<u>\$360,886</u>	<u>\$736,422</u>
8		<u> </u>	<u> </u>
9		<u> </u>	<u> </u>
10		<u> </u>	<u> </u>
11		<u> </u>	<u> </u>
12	Total Cash Receipts	<u>\$940,771</u>	<u>\$2,191,728</u>
Cash Disbursements			
13	Payments for Inventory	<u> </u>	<u> </u>
14	Selling	<u> </u>	<u> </u>
15	Administrative	<u> </u>	<u> </u>
16	Capital Expenditures	<u> </u>	<u> </u>
17	Principal Payments on Debt	<u> </u>	<u> </u>
18	Interest Paid	<u> </u>	<u> </u>
19	Rent/Lease:		
20	Personal Property	<u> </u>	<u> </u>
21	Real Property	<u> </u>	<u> </u>
22	Amount Paid to Owner(s)/Officer(s)	<u> </u>	<u> </u>
23	Salaries	<u> </u>	<u> </u>
24	Draws	<u> </u>	<u> </u>
25	Commissions/Royalties	<u> </u>	<u> </u>
26	Expense Reimbursements	<u> </u>	<u> </u>
27	Other	<u> </u>	<u> </u>
28	Salaries/Commissions (less employee withholding)	<u> </u>	<u> </u>
29	Management Fees	<u> </u>	<u> </u>
30	Taxes:		
31	Employee Withholding	<u> </u>	<u> </u>
32	Employer Payroll Taxes	<u> </u>	<u> </u>
33	Real Property Taxes	<u> </u>	<u> </u>
34	Other Taxes	<u> </u>	<u> </u>
35	Other Cash Outflows:		
36	<u>Operating</u>	<u>\$459,439</u>	<u>\$1,087,345</u>
37	SG&A	<u>\$181,176</u>	<u>\$359,563</u>
38	Monthly Mortgage Payments (Principal & Interest & Interest only)	<u>\$173,882</u>	<u>\$337,723</u>
39	Total Cash Disbursements:	<u>\$814,497</u>	<u>\$1,784,631</u>
40	Net Increase (Decrease) in Cash	<u>\$126,274</u>	<u>\$407,097</u>
41	Cash Balance, Beginning of Period	<u>\$727,074</u>	<u>\$446,251 *</u>
	Cash Balance, End of Period	<u>\$853,348</u>	<u>\$853,348</u>

* Cash receipts adjusted to include July 26 to July 31 rent collections; cash balance adjusted to July 26 beginning cash balance.

STATEMENT OF CASH FLOWS
 (Optional) Increase/(Decrease) in Cash and Cash Equivalents
 For the Month Ended 09/30/20

		<u>Actual</u>	<u>Cumulative</u>
		<u>Current Month</u>	<u>(Case to Date)</u>
	Cash Flows From Operating Activities		
1	Cash Received from Sales	\$292,025	\$565,905
2	Rent/Leases Collected	\$677,048	\$1,446,416
3	Interest Received	\$0	\$0
4	Cash Paid to Suppliers	\$24,828	\$39,566
5	Cash Paid for Selling Expenses	\$1,979	\$3,841
6	Cash Paid for Administrative Expenses	\$162,925	\$459,015
	Cash Paid for Rents/Leases:		
7	Personal Property	\$0	\$0
8	Real Property	\$0	\$0
9	Cash Paid for Interest	\$149,074	\$312,914
10	Cash Paid for Net Payroll and Benefits	\$24,900	\$42,167
	Cash Paid to Owner(s)/Officer(s):		
11	Salaries	\$244,621	\$500,215
12	Draws	\$0	\$0
13	Commissions/Royalties	\$0	\$0
14	Expense Reimbursements	\$0	\$0
15	Other	\$0	\$1,191
	Cash Paid for Taxes Paid/Deposited to Tax Acct.		
16	Employer Payroll Tax	\$18,908	\$38,799
17	Employee Withholdings	\$0	\$0
18	Real Property Taxes	\$132,643	\$132,643
19	Other Taxes	\$0	\$0
20	Cash Paid for General Expenses	\$0	\$0
21	Insurance Expense	\$28,467	\$65,927
22	Utilities Expense	\$44,360	\$44,360
23	Contract Maintenance	\$20,269	\$20,269
24	Outside Services	(\$586)	(\$26,278)
25	Bank Charges	(\$197)	(\$1,157)
26	Association Dues	(\$8,852)	(\$27,709)
26a	T/O - S/D Charges to Tenant	(\$540)	(\$540)
27	Net Cash Provided (Used) by Operating Activities before Reorganization Items	\$126,275	\$407,098
	Cash Flows From Reorganization Items		
28	Interest Received on Cash Accumulated Due to Chp 11 Case	\$0	\$0
29	Professional Fees Paid for Services in Connection with Chp 11 Case	\$0	\$0
30	U.S. Trustee Quarterly Fees	\$0	\$0
31		\$0	\$0
32	Net Cash Provided (Used) by Reorganization Items	\$0	\$0
33	Net Cash Provided (Used) for Operating Activities and Reorganization Items	\$126,275	\$407,098
	Cash Flows From Investing Activities		
34	Capital Expenditures	\$0	\$0
35	Proceeds from Sales of Capital Goods due to Chp 11 Case	\$0	\$0
36		\$0	\$0
37	Net Cash Provided (Used) by Investing Activities	\$0	\$0
	Cash Flows From Financing Activities		
38	Net Borrowings (Except Insiders)	\$0	\$0
39	Net Borrowings from Shareholders, Partners, or Other Insiders	\$0	\$0
40	Capital Contributions	\$0	\$0
41	Principal Payments	\$0	\$0
42		\$0	\$0
43	Net Cash Provided (Used) by Financing Activities	\$0	\$0
44	Net Increase (Decrease) in Cash and Cash Equivalents	\$126,275	\$407,098
45	Cash and Cash Equivalents at Beginning of Month	\$727,074	\$446,251
46	Cash and Cash Equivalents at End of Month	\$853,349	\$853,349

Professional Financial Investors, Inc. September Financial Cash Reconciliation Analysis		Financial Results		Variance Analysis	Description of Variance
		Forecast Month End 9/30/2020	Actual Month End 9/30/2020		
Beginning Balance		\$ 627,074	\$ 627,074		
Restricted Cash		\$ 100,000	\$ 100,000		
Other Cash Collections					
Management Fees (Paid from LPs & LLCs to PFI)					
Admin Fees (All properties: payroll allocation, repairs & maintenance)					
Recovery of cash through restitution payment received					
Proceeds from Sale of Property (1)					
Total Collections		\$ 965,795	\$ 1,087,960	\$ 122,165	
Rent Receipts (2)					
PFI dba Duffy Place - Duffy Place		\$ 79,586	\$ 77,741	\$ (1,845)	Tenants did not pay all rents due
PFI dba Gate 5 - Mariners Landing		31,462	28,622	(2,840)	Tenants did not pay all rents due
PFI dba 107 Marin Apartments - 107 Marin		20,000	17,876	(2,124)	
PFI dba 1129 - 1129 3rd Street Apartments		5,856	7,775	1,919	
PFI dba 117-121 Paul Drive - Urban Business Center		17,446	18,352	906	
PFI dba Rafael Gardens Apartments - San Rafael Gardens		25,043	22,243	(2,801)	
PFI dba 1506 Novato Court Apartments - Novato Apartments		11,320	10,825	(495)	Tenants did not pay all rents due
PFI dba 353 Bell Marin Keys - The Keys Center		36,664	26,661	(10,003)	Tenants did not pay all rents due
PFI dba 355 Redwood Manor Apartments - Redwood Manor		17,018	16,235	(783)	
PFI dba 390 Woodland Ave. - Woodland Apartments		13,575	15,883	2,308	
PFI dba 419 Prospect Dr. - 419 Prospect Drive		22,227	20,320	(1,907)	
PFI dba 461 Ignacio Blvd. - Ignacio Hills Tennis & Gardens		27,221	24,975	(2,246)	
PFI dba 501 Ignacio Blvd. - Ignacio Hills Tennis & Gardens		24,703	24,413	(290)	
PFI dba 515 Brookside Apartments - Brookside		19,723	24,436	4,713	
PFI dba 7200 Redwood Blvd. - North Bay Business Center		103,184	98,077	(5,107)	Tenants paid past-due rents in their September rent payment
PFI dba 885 Broadway Apartments - 885 Broadway		31,879	29,120	(2,759)	
PFI dba Hammondale Apartments - Hammondale		12,288	10,177	(2,111)	Tenants did not pay all rents due
PFI dba ILane Ignacio Lane - Ignacio Lane		14,800	13,720	(1,080)	
PFI dba Merrymdale Apartments - Merrymdale View Apartments		15,983	15,354	(630)	
PFI dba Pacheco Villa 1 - Pacheco Villa		36,575	35,530	(1,045)	
PFI 350 Ignacio Blvd (Bank of America rents space for ATM machine)		2,195	4,390	2,195	
PFI dba Santa House - Santa Land		-	-		
16914 Sonoma - TIC - 16914 Sonoma Highway		37,071	37,162	91	Collected both August & September rents in September
Total Rent Receipts		\$ 605,819	\$ 579,885	\$ (25,934)	
Total Cash Generated from Operations		\$ 1,571,614	\$ 1,667,845	\$ 96,231	
Disbursements (3)					
PFI dba Duffy Place - Duffy Place		\$ 34,318	\$ 27,892	\$ (6,426)	Timing of payments; expenses consistent month over month
PFI dba Gate 5 - Mariners Landing		22,931	38,589	15,658	Timing of payments; expenses consistent month over month
PFI dba 107 Marin Apartments - 107 Marin		18,000	10,000	(8,000)	Timing of payments; expenses consistent month over month
PFI dba 117 Paul Dr - Urban Business Center		1,796	1,284	(512)	Timing of payments; expenses consistent month over month
PFI dba Rafael Gardens Apartments - San Rafael Gardens		11,468	14,658	3,190	Timing of payments; expenses consistent month over month
PFI dba 1506 Novato Court Apartments - Novato Apartments		22,759	21,173	(1,586)	Timing of payments; expenses consistent month over month
PFI dba 353 Bell Marin Keys - The Keys Center		13,290	7,044	(6,246)	Timing of payments; expenses consistent month over month
PFI dba 355 Redwood Manor Apartments - Redwood Manor		26,442	21,040	(5,402)	Timing of payments; expenses actually increased \$5k for HVAC repairs (post-September payment)
PFI dba 390 Woodland Ave. - Woodland Apartments		9,929	9,170	(759)	
PFI dba 419 Prospect Dr. - 419 Prospect Drive		13,237	10,276	(2,961)	Timing of payments; expenses consistent month over month
PFI dba 461 Ignacio Blvd. - Ignacio Hills Tennis & Gardens		12,827	15,837	3,010	Timing of payments; expenses consistent month over month
PFI dba 501 Ignacio Blvd. - Ignacio Hills Tennis & Gardens		8,512	7,051	(1,461)	Timing of payments; expenses consistent month over month
PFI dba 515 Brookside Apartments - Brookside		11,841	4,041	(7,800)	Timing of payments; expenses consistent month over month
PFI dba 7200 Redwood Blvd. - North Bay Business Center		49,381	48,866	(515)	Timing of payments; expenses consistent month over month
PFI dba 885 Broadway Apartments - 885 Broadway		19,996	16,681	(3,315)	Timing of payments; expenses consistent month over month
PFI dba Hammondale Apartments - Hammondale		9,385	8,007	(1,378)	Timing of payments; expenses consistent month over month
PFI dba ILane Ignacio Lane - Ignacio Lane		1,585	1,349	(236)	Timing of payments; expenses consistent month over month
PFI dba Merrymdale Apartments - Merrymdale View Apartments		9,415	6,983	(2,432)	Timing of payments; expenses consistent month over month
PFI dba Pacheco Villa 1 - Pacheco Villa		18,323	18,396	73	
PFI dba Santa House - Santa Land		7,387	9,579	2,192	Unanticipated repair and maintenance fees
16914 Sonoma - TIC - 16914 Sonoma Highway		22,501	26,278	3,777	Primarily timing of utility (water) billing/payment
PFI - 350 Ignacio expenses		33,912	14,089	(19,823)	September association dues payment delayed until October
Payroll		256,591	243,487	(13,104)	Employee departure and two budgeted positions remain open
Pavill taxes		19,891	18,908	(983)	
Benefits		16,672	26,963	10,291	Primarily medical insurance premiums
PFI - Other disbursements		-	-		
Yardi Accounting System - Annual Subscription		-	63,451	63,451	Timing of payments; disbursement initially forecast for August
IT Expenses		-	24,846	24,846	Unanticipated expense for storage of backup data required for forensic investigation
Meridian Commercial (Property Valuations)		-	-		
CallLand (PFI Title Searches)		15,000	-	(15,000)	Timing: invoices not received until October
Security Costs (350 Ignacio)		-	7,200	7,200	Security extended through October
Insurance (Property, Workers' Comp., Business Practices)		10,467	4,744	(5,723)	Timing of workers' compensation insurance payment
Rent Expense (353 Bell Marin Keys Warehouse)		9,675	-	(9,675)	Initially forecast for September; to be paid in October
Office expenses (temp help, copier lease, postage)		8,891	-	(8,891)	Temp. help not engaged during month; copier lease expired; postage meter not replenished
Advertising		3,779	-	(3,779)	Timing of payments
Auto Expense		7,077	3,674	(3,403)	Timing of employee expense report submissions
Repairs/Maintenance		4,789	4,053	(736)	
Telephone/Utilities		3,708	3,795	87	
Apartment unit turnover expense (4)		-	-		
Credit Card (Debit Bento Card)		10,000	10,000	-	
All other OpEx (5)		-	-		
Prior period adjustment		-	57,974	57,974	Represents outstanding checks not yet reconciled
Total Disbursements		\$ 753,859	\$ 814,497	\$ 2,664	
Net Cash from Operations		\$ 817,755	\$ 853,348	\$ 35,593	
Professional Fees - Disbursements (6)					
Independent Director		\$ -	\$ -	\$ -	
Armanino - CRO/Operations (7)		230,000	-	(230,000)	No amount paid during September
Armanino - Forensics		-	-		No amount paid during September
Armanino - Tax		-	-		No amount paid during September
Sheppard Mullin (8)		-	-		No amount paid during September
Ragghianti, Freitas (9)		-	-		No amount paid during September
Other Debtor Professionals		-	-		No amount paid during September
Committee of Unsecured Creditors' Counsel		-	-		No amount paid during September
Ad Hoc DOT Holders Committee's Counsel		-	-		No amount paid during September
Ad Hoc LLC Members Committee's Counsel		-	-		No amount paid during September
FTI Forensics		-	-		No amount paid during September
Total Professional Fees - Disbursements		\$ -	\$ -	\$ -	
Net Cash after Non-Operating Disbursements		\$ 817,755	\$ 853,348	\$ 35,593	
Professional Fees - Accrued (10)					
Independent Director		\$ 29,500	\$ -	\$ (29,500)	No amount paid during September
Armanino - CRO/Operations		230,000	-	(230,000)	No amount paid during September
Armanino - Forensics (11)		40,000	-	(40,000)	No amount paid during September
Armanino - Tax		8,000	-	(8,000)	No amount paid during September
Sheppard Mullin		260,000	-	(260,000)	No amount paid during September
Ragghianti, Freitas		40,000	-	(40,000)	No amount paid during September
Other Debtor Professionals		10,000	-	(10,000)	No amount paid during September
Committee of Unsecured Creditors' Counsel		232,000	-	(232,000)	No amount paid during September
Ad Hoc DOT Holders Committee's Counsel		124,000	-	(124,000)	No amount paid during September
Ad Hoc LLC Members Committee's Counsel		124,000	-	(124,000)	No amount paid during September
FTI Forensics (12)		535,000	-	(535,000)	No amount paid during September
Total Professional Fees - Accrued		\$ 1,632,500	\$ -	\$ (1,632,500)	



Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	2763	Beginning balance	\$7,416.56
Low balance	\$33,527.58	Deposits/Additions	\$624,442.48
Average balance	\$108,350.55	Withdrawals/Subtractions	\$445,670.97
Interest earned	\$0.00	Ending balance	\$186,188.07

Other Deposits/ Additions

Date	Description	Additions
09-01	Cash Mgmt Trsfr Cr Ref 2452341I Funds Transfer Frm Dep 992895375 From Transfer From To F Und Operations	100,000.00
09-01	Cash Mgmt Trsfr Cr Ref 2452345I Funds Transfer Frm Dep 4863286136 From Transfer To Fund O Perations	80,000.00
09-02	Remote Capture Dep	2,194.78
09-10	Remote Capture Dep	122,888.33
09-22	Remote Capture Dep	136,661.23
09-24	Remote Capture Dep	2,194.78
09-28	Cash Mgmt Trsfr Cr Ref 2721532I Funds Transfer Frm Dep 992895375 From Trans To Pfi Gener AI For Add Funds	60,000.00
09-28	Remote Capture Dep	2,430.54
09-30	Remote Capture Dep	115,888.40
09-30	Remote Capture Dep	2,184.42
Total Other Deposits/ Additions		\$624,442.48

ACH and Electronic Payments/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-01	ACH Debit Comcast Business Web Pay 971394567 20200901	51.90
09-01	ACH Debit Comcast Business Web Pay 923606352 20200901	1,305.55
09-02	ACH Debit Propertytaxweb 4154736133 20200902	22.23
09-02	ACH Debit Propertytaxweb 4154736133 7495665 20200902	23.71
09-02	ACH Debit Extras Dental ACH Pymt Njzc0lm7ucyb 20200902	49.00
09-08	ACH Debit Unitedhcmedicare Medinspymt 20200908	91.90
09-08	ACH Debit Anthem Blue Med Supp 20200908	227.00
09-09	ACH Debit Sprint8006396111 Achbillpay 20200909	352.57
09-10	ACH Debit Verizon Wireless Payments 024205612900001 20200910	928.71
09-10	ACH Debit Heritage Bank Transfer Loan Pmt 1548xx	2,207.75
09-10	ACH Debit Heritage Bank Transfer Loan Pmt 1593xx	2,689.89
09-11	ACH Debit Payroll Resource Transfer Profess 20200911	189.58
09-11	ACH Debit Payroll Resource Transfer Profess 20200911	192.50
09-11	ACH Debit Payroll Resource Transfer Profess 20200911	37,632.34
09-11	ACH Debit Payroll Resource Transfer Profess 20200911	90,281.00
09-14	ACH Debit Comcast 8155300 011083144 20200914	100.06
09-28	ACH Debit Payroll Resource Transfer Profess 20200928	189.58
09-28	ACH Debit Payroll Resource Transfer Profess 20200928	193.77
09-28	ACH Debit Comcast Cable 20200928	578.36
09-28	ACH Debit Payroll Resource Transfer Profess 20200928	36,659.95
09-28	ACH Debit Payroll Resource Transfer Profess 20200928	86,998.55
Total ACH and Electronic Payments/Subtractions		\$260,965.90

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-16	Cash Mgmt Trsfr Dr Ref 26011431 Funds Transfer To Dep 4867957823 From Transfer For Mortg Age	7,000.00
09-18	Cash Mgmt Trsfr Dr Ref 26215191 Funds Transfer To Dep 4867957823 From Additional Funding For Acct	2,000.00
09-21	Research Charge Stmt & Cks 092013-012014	35.00
09-25	Wire Transfer-out Bob Domestic Acct#20112763 Bento The Bancorp Bank Funding To Re Fresh Bento Card B Alance	10,000.00
09-29	Cash Mgmt Trsfr Dr Ref 27312181 Funds Transfer To Dep 4866433768 From Insurance	2,500.00
09-29	Cash Mgmt Trsfr Dr Ref 27320401 Funds Transfer To Dep 4867957823 From Trans To Replenish Account	3,000.00
09-29	Cash Mgmt Trsfr Dr Ref 27312151 Funds Transfer To Dep 4864447828 From Insurance	4,000.00
09-29	Cash Mgmt Trsfr Dr Ref 27312201 Funds Transfer To Dep 9885182825 From Insurance	5,000.00
09-29	Cash Mgmt Trsfr Dr Ref 27312171 Funds Transfer To Dep 4866259569 From Taxes	7,000.00
Total Other Withdrawals/Subtractions		\$40,535.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	7,416.56	09-10	174,959.98	09-22	167,578.82
09-01	184,629.11	09-11	46,664.56	09-23	166,805.90
09-02	186,430.75	09-14	45,225.01	09-24	164,521.58
09-03	178,444.51	09-15	45,200.01	09-25	153,865.42
09-04	161,791.67	09-16	38,200.01	09-28	91,675.75
09-08	64,202.93	09-18	34,901.58	09-29	70,115.25
09-09	61,149.75	09-21	33,527.58	09-30	186,188.07

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$210.00
Total Returned Item Fees	\$0.00	\$175.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1143	\$505.93	09-08	57984	\$272.75	09-10
1144	\$77.50	09-04	*57986	\$634.92	09-08
1145	\$2,979.00	09-10	57987	\$53.17	09-08
1146	\$715.00	09-04	57988	\$158.60	09-08
1147	\$505.93	09-18	57989	\$646.36	09-08
1148	\$77.50	09-18	57990	\$63,451.60	09-08
1149	\$2,979.00	09-24	*57992	\$1,170.00	09-08
1150	\$715.00	09-18	*58004	\$1,339.00	09-21
*57935	\$25.00	09-22	58005	\$57.13	09-23
*57937	\$25.00	09-15	*58007	\$25.00	09-29
57938	\$25.00	09-02	58008	\$25.00	09-24
*57942	\$150.00	09-24	58009	\$25.00	09-24
*57957	\$115.48	09-04	*58011	\$25.00	09-23
*57959	\$1,430.00	09-01	*58014	\$25.00	09-23
*57963	\$655.89	09-09	58015	\$50.00	09-24
57964	\$595.26	09-09	58016	\$300.00	09-22
57965	\$15,744.86	09-04	58017	\$394.00	09-24
57966	\$4,634.48	09-08	58018	\$150.00	09-24
57967	\$786.24	09-03	*58020	\$71.66	09-22
*57969	\$70.00	09-08	58021	\$498.42	09-24
*57971	\$107.48	09-08	58022	\$162.63	09-23
57972	\$24,846.49	09-08	*58024	\$194.00	09-25
57973	\$28.80	09-08	58025	\$35.50	09-29
57974	\$962.01	09-08	58026	\$276.71	09-25
57975	\$7,200.00	09-03	58027	\$207.68	09-24
57976	\$273.20	09-02	58028	\$217.62	09-23
57977	\$1,267.20	09-09	58029	\$185.45	09-25
57978	\$266.06	09-22	58030	\$285.54	09-23
57979	\$57.81	09-22	58031	\$1,557.45	09-22
57980	\$182.26	09-09	58032	\$332.01	09-22
57981	\$1,153.99	09-14	*58034	\$2,000.00	09-30
*57983	\$185.50	09-14			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 63 for -\$144,170.07

PROFESSIONAL FINANCIAL INVESTORS INC

September 30, 2020 Page: 4 of 10

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		August 31, 2020	1143
Pay this Amount: Five Hundred Five Dollars and Ninety Three Cents *****				
To the order of:	1143-44 Employment Development Dept.	\$ ***** 505.93		
Alt. Wages Garnishment PO Box 989056 West Sacramento, CA 95798-9056				
<i>M. Miller</i> Authorized Signature				
#0000001143# 1121181730# 2763#				

Check # 1143, Posted 09-08-20, Amount \$505.93

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		August 31, 2020	1144
Pay this Amount: Seventy Seven Dollars and Fifty Cents *****				
To the order of:	1144-44 California State Disbursement Unit P.O. Box 989067 West Sacramento, CA 95798-9067	\$ ***** 77.50		
<i>M. Miller</i> Authorized Signature				
#0000001144# 1121181730# 2763#				

Check # 1144, Posted 09-04-20, Amount \$77.50

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		August 31, 2020	1145
Pay this Amount: Two Thousand, Nine Hundred Seventy Nine Dollars and No Cents *****				
To the order of:	1145-44 Charles Schwab - Processing Center ACCT #72349017 1945 Northwestern Drive El Paso, TX 79912	\$ ***** 2,979.00		
<i>M. Miller</i> Authorized Signature				
#0000001145# 1121181730# 2763#				

Check # 1145, Posted 09-10-20, Amount \$2,979.00

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		August 31, 2020	1146
Pay this Amount: Seven Hundred Fifteen Dollars and No Cents *****				
To the order of:	1146-44 California State Disbursement Unit P.O. Box 989067 West Sacramento, CA 95798-9067	\$ ***** 715.00		
<i>M. Miller</i> Authorized Signature				
#0000001146# 1121181730# 2763#				

Check # 1146, Posted 09-04-20, Amount \$715.00

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		September 15, 2020	1147
Pay this Amount: Five Hundred Five Dollars and Ninety Three Cents *****				
To the order of:	1147-44 Employment Development Dept. Alt. Wages Garnishment PO Box 989056 West Sacramento, CA 95798-9056	\$ ***** 505.93		
<i>M. Miller</i> Authorized Signature				
#0000001147# 1121181730# 2763#				

Check # 1147, Posted 09-18-20, Amount \$505.93

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		September 15, 2020	1148
Pay this Amount: Seventy Seven Dollars and Fifty Cents *****				
To the order of:	1148-45 California State Disbursement Unit P.O. Box 989067 West Sacramento, CA 95798-9067	\$ ***** 77.50		
<i>M. Miller</i> Authorized Signature				
#0000001148# 1121181730# 2763#				

Check # 1148, Posted 09-18-20, Amount \$77.50

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		September 15, 2020	1149
Pay this Amount: Two Thousand, Nine Hundred Seventy Nine Dollars and No Cents *****				
To the order of:	1149-47 Charles Schwab - Processing Center ACCT #72349017 1945 Northwestern Drive El Paso, TX 79912	\$ ***** 2,979.00		
<i>M. Miller</i> Authorized Signature				
#0000001149# 1121181730# 2763#				

Check # 1149, Posted 09-24-20, Amount \$2,979.00

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		September 15, 2020	1150
Pay this Amount: Seven Hundred Fifteen Dollars and No Cents *****				
To the order of:	1150-48 California State Disbursement Unit P.O. Box 989067 West Sacramento, CA 95798-9067	\$ ***** 715.00		
<i>M. Miller</i> Authorized Signature				
#0000001150# 1121181730# 2763#				

Check # 1150, Posted 09-18-20, Amount \$715.00

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc. (PROFESS)	Umpqua Bank	09-8173/121	Check Date	Check Number
350 Ignacio Boulevard, Suite 300	Novato, CA 94949		September 15, 2020	1151
**** EIGHTY FIVE AND 00/100 DOLLARS				
TO THE ORDER OF:	Sergio Meza Chopin 140 No. Star Drive Santa Rosa, CA 95407	08/19/2020 \$25.00***** Not valid more than 180 days after issue		
<i>M. Miller</i>				
#57935# 1123205054# 2763#				

Check # 57935, Posted 09-22-20, Amount \$25.00

DO NOT ACCEPT THIS CHECK WITHOUT CONFIRMATION OF A WITHDRAWAL FROM YOUR BANK. OTHER SECURITY RESTRICTIONS ARE LISTED ON BACK.

Professional Financial Investors, Inc.	Umpqua Bank	09-8173-121	Check Date	Check Number
350 Ignacio Blvd., #300	Novato, CA 94949		08/19/2020	57937
**** TWENTY FIVE AND 00/100 DOLLARS				
TO THE ORDER OF:	Jeffrey Tavares 350 Ignacio Blvd. Suite 300 Novato, CA 94949	\$25.00***** Not valid more than 180 days after issue		
<i>M. Miller</i>				
#57937# 1123205054# 2763#				

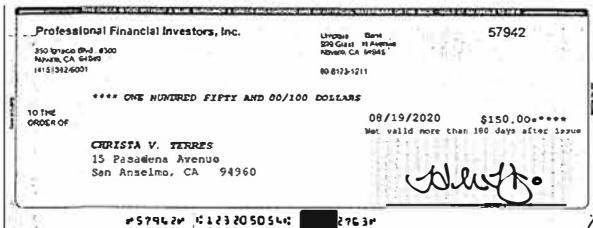
Check # 57937, Posted 09-15-20, Amount \$25.00

PROFESSIONAL FINANCIAL INVESTORS INC

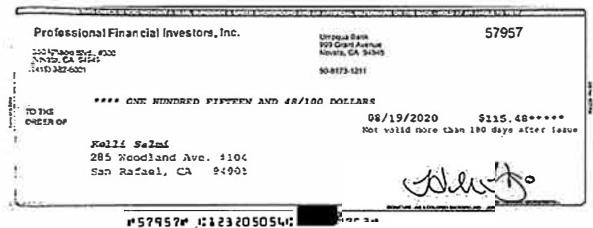
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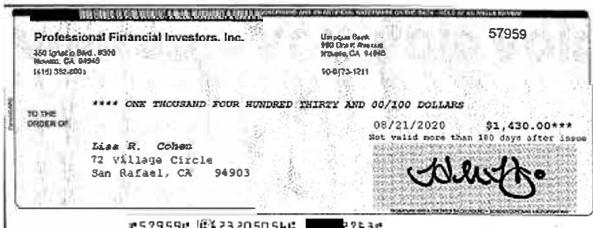
Check # 57938, Posted 09-02-20, Amount \$25.00



Check # 57942, Posted 09-24-20, Amount \$150.00



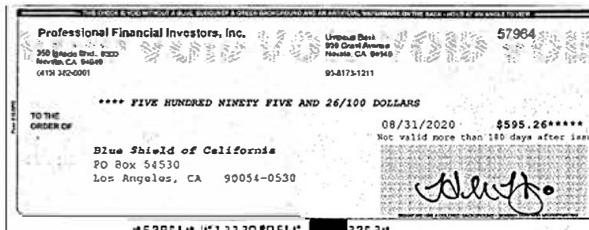
Check # 57957, Posted 09-04-20, Amount \$115.48



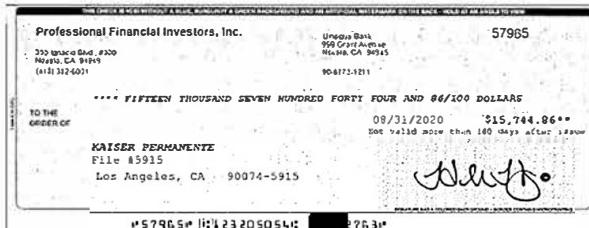
Check # 57959, Posted 09-01-20, Amount \$1,430.00



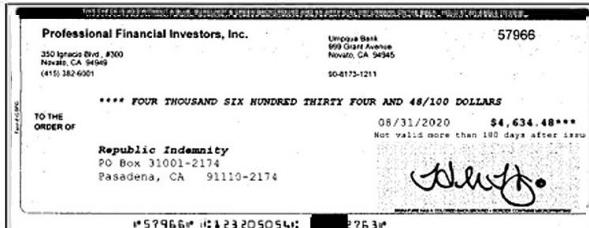
Check # 57963, Posted 09-09-20, Amount \$655.89



Check # 57964, Posted 09-09-20, Amount \$595.26



Check # 57965, Posted 09-04-20, Amount \$15,744.86



Check # 57966, Posted 09-08-20, Amount \$4,634.48



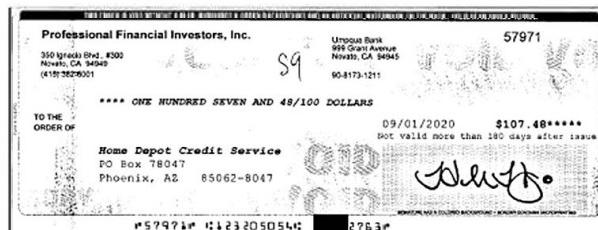
Check # 57967, Posted 09-03-20, Amount \$786.24



Check # 57969, Posted 09-08-20, Amount \$70.00

PROFESSIONAL FINANCIAL INVESTORS INC

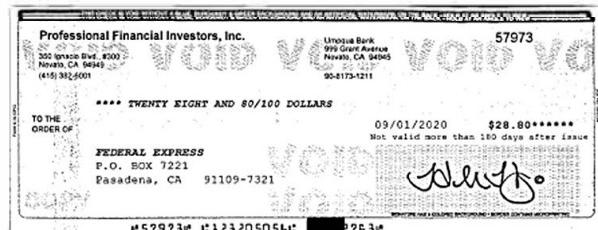
September 30, 2020 Page: 6 of 10



Check # 57971, Posted 09-08-20, Amount \$107.48



Check # 57972, Posted 09-08-20, Amount \$24,846.49



Check # 57973, Posted 09-08-20, Amount \$28.80



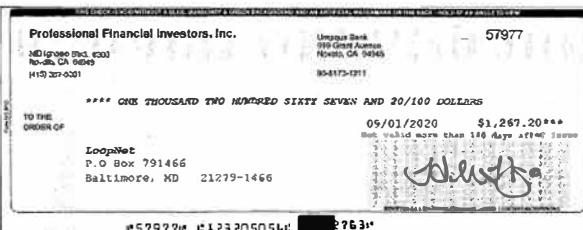
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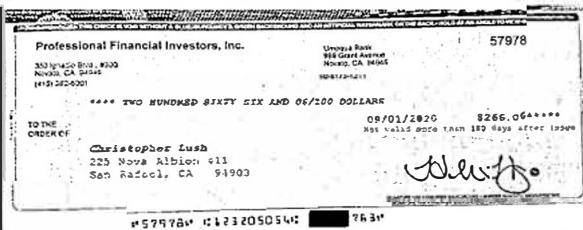
Check # 57975, Posted 09-03-20, Amount \$7,200.00



Check # 57976, Posted 09-02-20, Amount \$273.20



Check # 57977, Posted 09-09-20, Amount \$1,267.20



Check # 57978, Posted 09-22-20, Amount \$266.06



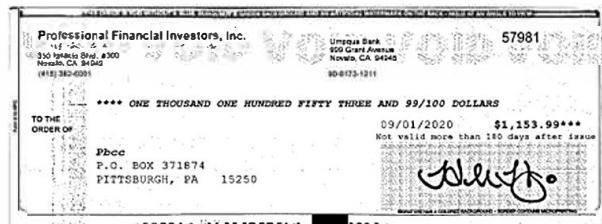
Check # 57979, Posted 09-22-20, Amount \$57.81



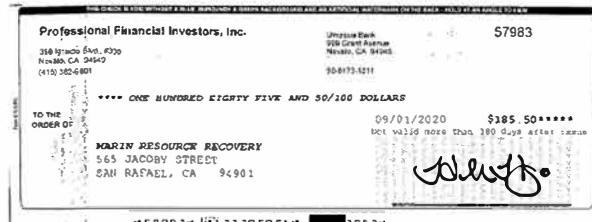
Check # 57980, Posted 09-09-20, Amount \$182.26

PROFESSIONAL FINANCIAL INVESTORS INC

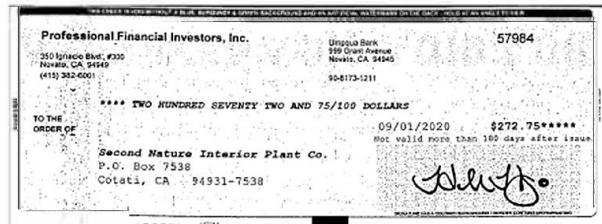
September 30, 2020 Page: 7 of 10



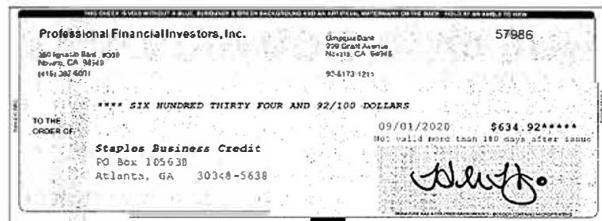
Check # 57981, Posted 09-14-20, Amount \$1,153.99



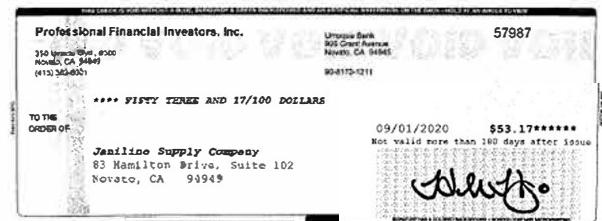
Check # 57983, Posted 09-14-20, Amount \$185.50



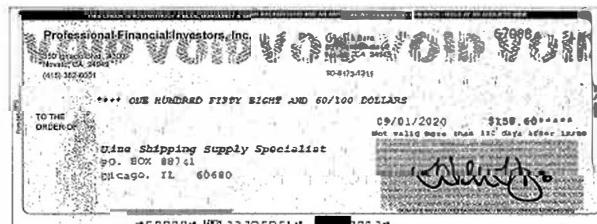
Check # 57984, Posted 09-10-20, Amount \$272.75



Check # 57986, Posted 09-08-20, Amount \$634.92



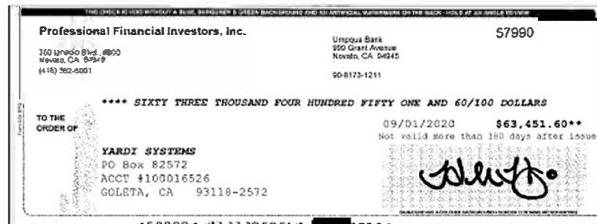
Check # 57987, Posted 09-08-20, Amount \$53.17



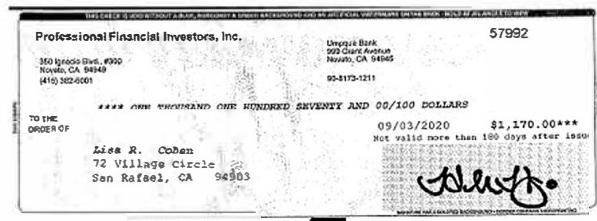
Check # 57988, Posted 09-08-20, Amount \$158.60



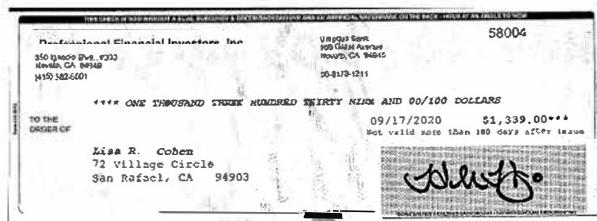
Check # 57989, Posted 09-08-20, Amount \$646.36



Check # 57990, Posted 09-08-20, Amount \$63,451.60



Check # 57992, Posted 09-08-20, Amount \$1,170.00



Check # 58004, Posted 09-21-20, Amount \$1,339.00

PROFESSIONAL FINANCIAL INVESTORS INC

September 30, 2020 Page: 8 of 10

Professional Financial Investors, Inc. 58005

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** FIFTY SEVEN AND 13/100 DOLLARS
09/18/2020 \$57.13*****
Not valid more than 180 days after issue

TO THE ORDER OF
Joe Campagna
1222 Irwin Street
Apt. 3
San Rafael, CA 94901

[Signature]

58005# 123205054# 2763#

Check # 58005, Posted 09-23-20, Amount \$57.13

Professional Financial Investors, Inc. 58007

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** TWENTY FIVE AND 00/100 DOLLARS
09/18/2020 \$25.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
Marcos Herrera
3400 Richmond Pkwy, Apt. 2220
Richmond, CA 94806

[Signature]

58007# 123205054# 2763#

Check # 58007, Posted 09-29-20, Amount \$25.00

Professional Financial Investors, Inc. 58008

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** TWENTY FIVE AND 00/100 DOLLARS
09/18/2020 \$25.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
Leobardo Mata
530 Vallejo Avenue
Rodeo, CA 94572

[Signature]

58008# 123205054# 2763#

Check # 58008, Posted 09-24-20, Amount \$25.00

Professional Financial Investors, Inc. 58009

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** THREE HUNDRED FIFTY-FIVE AND 00/100 DOLLARS
09/18/2020 \$355.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
Salvador Malgoza
109 Professional Center Parkway
Apt. #406
San Rafael, CA 94903

[Signature]

58009# 123205054# 2763#

Check # 58009, Posted 09-24-20, Amount \$25.00

Professional Financial Investors, Inc. 58011

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** EIGHTY-THREE AND 00/100 DOLLARS
09/18/2020 \$83.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
Adil Modan
205 Pear Ct.
San Rafael, CA 94903

[Signature]

58011# 123205054# 2763#

Check # 58011, Posted 09-23-20, Amount \$25.00

Professional Financial Investors, Inc. 58014

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** TWENTY FIVE AND 00/100 DOLLARS
09/18/2020 \$25.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
Nicholas Zabelin
2415 Orleans Street
Santa Rosa, CA 95403

[Signature]

58014# 123205054# 2763#

Check # 58014, Posted 09-23-20, Amount \$25.00

Professional Financial Investors, Inc. 58015

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** FIFTY AND 00/100 DOLLARS
09/18/2020 \$50.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
Salvador Malgoza
109 Professional Center Parkway
Apt. #406
San Rafael, CA 94903

[Signature]

58015# 123205054# 2763#

Check # 58015, Posted 09-24-20, Amount \$50.00

Professional Financial Investors, Inc. 58016

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** THREE HUNDRED AND 00/100 DOLLARS
09/18/2020 \$300.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
Tami Phillips
161 Florence ct
Vallejo, CA 94589

[Signature]

58016# 123205054# 2763#

Check # 58016, Posted 09-22-20, Amount \$300.00

Professional Financial Investors, Inc. 58017

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** THREE HUNDRED NINETY FOUR AND 00/100 DOLLARS
09/18/2020 \$394.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
Leobardo Mata
530 Vallejo Avenue
Rodeo, CA 94572

[Signature]

58017# 123205054# 2763#

Check # 58017, Posted 09-24-20, Amount \$394.00

Professional Financial Investors, Inc. 58018

330 14th St. Blvd., #300
Novato, CA 94945
(415) 382-6001

Unocal Bank
999 Grant Avenue
Novato, CA 94945
98-8173-1211

**** ONE HUNDRED FIFTY AND 00/100 DOLLARS
09/18/2020 \$150.00*****
Not valid more than 180 days after issue

TO THE ORDER OF
CHRISTA V. TERRES
15 Pasadena Avenue
San Anselmo, CA 94960

[Signature]

58018# 123205054#

Check # 58018, Posted 09-24-20, Amount \$150.00

PROFESSIONAL FINANCIAL INVESTORS INC

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Professional Financial Investors, Inc. 58020

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** SEVENTY ONE AND 66/100 DOLLARS
TO THE ORDER OF
Gabrielle Campbell
280 Fairway Drive
Novato, CA 94949
[Signature]

09/18/2020 \$71.66*****
Not valid more than 180 days after issue
#58020# 1123205054# 2763#

Check # 58020, Posted 09-22-20, Amount \$71.66

Professional Financial Investors, Inc. 58021

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** FOUR HUNDRED NINETY EIGHT AND 42/100 DOLLARS
TO THE ORDER OF
Michael Elmore
18177 Happy Lane
Sonoma, CA 95476
[Signature]

09/18/2020 \$498.42*****
Not valid more than 180 days after issue
#58021# 1123205054# 2763#

Check # 58021, Posted 09-24-20, Amount \$498.42

Professional Financial Investors, Inc. 58022

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** ONE HUNDRED SIXTY TWO AND 63/100 DOLLARS
TO THE ORDER OF
Aaron Graham
59 Ignacio Lane
Apt. #10
Novato, CA 94949
[Signature]

09/18/2020 \$162.63*****
Not valid more than 180 days after issue
#58022# 1123205054# 2763#

Check # 58022, Posted 09-23-20, Amount \$162.63

Professional Financial Investors, Inc. 58024

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** ONE HUNDRED EIGHTEEN AND 00/100 DOLLARS
TO THE ORDER OF
Freddy Hernandez
980 Ignacio Blvd.
#15
Novato, CA 94949
[Signature]

09/18/2020 \$194.00*****
Not valid more than 180 days after issue
#58024# 1123205054# 2763#

Check # 58024, Posted 09-25-20, Amount \$194.00

Professional Financial Investors, Inc. 58025

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** FORTY FIVE AND 50/100 DOLLARS
TO THE ORDER OF
Marcos Barrios
3400 Richmond Pkwy, Apt. 2220
Richmond, CA 94806
[Signature]

09/18/2020 \$35.50*****
Not valid more than 180 days after issue
#58025# 1123205054# 2763#

Check # 58025, Posted 09-29-20, Amount \$35.50

Professional Financial Investors, Inc. 58026

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** TWO HUNDRED SEVENTY SIX AND 71/100 DOLLARS
TO THE ORDER OF
Keystal Martin
525 Baker Street
Petaluma, CA 94952
[Signature]

09/18/2020 \$276.71*****
Not valid more than 180 days after issue
#58026# 1123205054# 2763#

Check # 58026, Posted 09-25-20, Amount \$276.71

Professional Financial Investors, Inc. 58027

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** TWO HUNDRED SEVEN AND 68/100 DOLLARS
TO THE ORDER OF
Salvador Malgoza
109 Professional Center Parkway
Apt. F-06
San Rafael, CA 94903
[Signature]

09/18/2020 \$207.68*****
Not valid more than 180 days after issue
#58027# 1123205054# 2763#

Check # 58027, Posted 09-24-20, Amount \$207.68

Professional Financial Investors, Inc. 58028

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** TWO HUNDRED SEVENTEEN AND 62/100 DOLLARS
TO THE ORDER OF
Adil Moden
205 Pearl Ct.
San Rafael, CA 94903
[Signature]

09/18/2020 \$217.62*****
Not valid more than 180 days after issue
#58028# 1123205054# 2763#

Check # 58028, Posted 09-23-20, Amount \$217.62

Professional Financial Investors, Inc. 58029

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** ONE HUNDRED EIGHTEEN AND 45/100 DOLLARS
TO THE ORDER OF
Mara Pasotti
21 Onyx Street
Larkspur, CA 94939
[Signature]

09/18/2020 \$185.45*****
Not valid more than 180 days after issue
#58029# 1123205054# 2763#

Check # 58029, Posted 09-25-20, Amount \$185.45

Professional Financial Investors, Inc. 58030

350 Ignacio Blvd., #300 Novato, CA 94945 (415) 382-6201

Unicorp Bank 599 Grant Avenue Novato, CA 94945 90-6173-1211

**** FIVE AND 54/100 DOLLARS
TO THE ORDER OF
Nicholas Zabolin
2415 Orleans Street
Santa Rosa, CA 95403
[Signature]

09/18/2020 \$285.54*****
Not valid more than 180 days after issue
#58030# 1123205054# 2763#

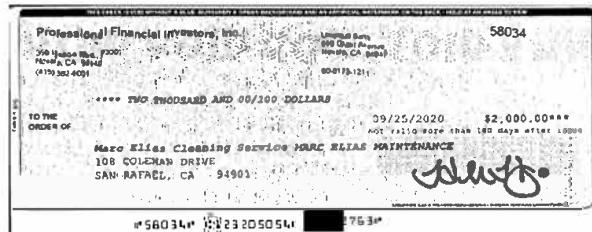
Check # 58030, Posted 09-23-20, Amount \$285.54

PROFESSIONAL FINANCIAL INVESTORS INC

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Check # 58031, Posted 09-22-20, Amount \$1,557.45



Check # 58034, Posted 09-30-20, Amount \$2,000.00



Check # 58032, Posted 09-22-20, Amount \$332.01

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS

Call us at 1-866-486-7782 or write us at Umpqua Bank, P.O. Box 19243, Spokane, WA 99219, as soon as you can if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. We must hear from you no later than 60 days after we send you the FIRST statement on which the error or problem appears.

- (1) Tell us your name and account number (if any).
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe there is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

If you tell us orally, we may require that you send us your complaint or question in writing within 10 business days.

We will determine whether an error occurred within 10 business days after we hear from you and will correct any error promptly. If we need more time, however, we may take up to 45 days to investigate your complaint or question. If we decide to do this, we will credit your account within 10 business days for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation. If we ask you to put your complaint or question in writing and we do not receive it within 10 business days, we may not credit your account.

For errors involving new accounts, point-of-sale, or foreign-initiated transactions, we may take up to 90 days to investigate your complaint or question. For new accounts, we may take up to 20 business days to credit your account for the amount you think is in error.

We will tell you the results within three business days after completing our investigation. If we decide that there was no error, we will send you a written explanation.

You may ask for copies of the documents that we used in our investigation.



September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
PROFESSIONAL INVESTORS CLEARING ACCOUNT
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	7399	Beginning balance	\$11,570.22
Low balance	\$11,458.66	Deposits/Additions	\$0.00
Average balance	\$11,536.75	Withdrawals/Subtractions	\$111.56
Interest earned	\$0.00	Ending balance	\$11,458.66

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-22	ACH Debit Direct Capital EDI Pymnts 097-0114388-000 20200922	111.56
Total ACH and Electronic Payments/Subtractions		\$111.56

Daily Balances

Date	Amount	Date	Amount	Date	Amount
08-31	11,570.22	09-22	11,458.66	09-30	11,458.66

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 0 for -\$0.00

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS

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- (3) Tell us the dollar amount of the suspected error.

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For errors involving new accounts, point-of-sale, or foreign-initiated transactions, we may take up to 90 days to investigate your complaint or question. For new accounts, we may take up to 20 business days to credit your account for the amount you think is in error.

We will tell you the results within three business days after completing our investigation. If we decide that there was no error, we will send you a written explanation.

You may ask for copies of the documents that we used in our investigation.



Customer Service:
1-866-486-7782

PROFESSIONAL INVESTORS
1 HAMMONDALE
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	9668	Beginning balance	\$17,275.84
Low balance	\$17,275.84	Deposits/Additions	\$10,176.68
Average balance	\$21,112.91	Withdrawals/Subtractions	\$8,708.35
Interest earned	\$0.00	Ending balance	\$18,744.17

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	81.00
09-03	Remote Capture Dep	2,050.00
09-04	Remote Capture Dep	2,050.00
09-18	Remote Capture Dep	95.68
Total Other Deposits/ Additions		\$4,276.68

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-08	ACH Debit Banner Bank Multi Fam 20200908	5,236.95
09-09	ACH Debit Marin Water Purchase 20200909	513.88
09-21	ACH Debit Marin Sanitary S Auto Pay 20200921	260.22
09-25	ACH Debit Pacific Gas & El Payment 20200924	52.83
Total ACH and Electronic Payments/Subtractions		\$6,063.88

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-04	ACH Credit Prof Financial ACH 1768023322 Credit Offset For Originated Debits	5,900.00
Total ACH and Electronic Deposits/Additions		\$5,900.00

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-21	Research Charge Stmt 092013-012014	15.00
Total Other Withdrawals/Subtractions		\$15.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	17,275.84	09-08	21,723.54	09-21	20,469.85
09-02	17,356.84	09-09	21,209.66	09-25	20,417.02
09-03	19,406.84	09-10	20,649.39	09-28	19,633.76
09-04	26,960.49	09-18	20,745.07	09-30	18,744.17

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
672	\$396.35	09-04	674	\$783.26	09-28
673	\$560.27	09-10	*677	\$889.59	09-30

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 4 for -\$2,629.47

PROFESSIONAL INVESTORS

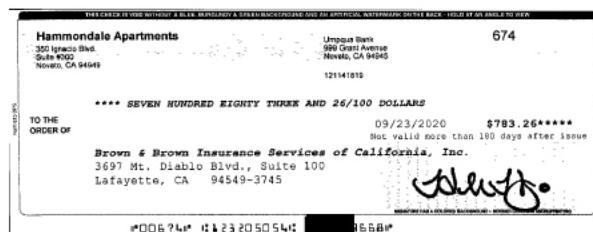
September 30, 2020 Page: 3 of 3



Check # 0672, Posted 09-04-20, Amount \$396.35



Check # 0673, Posted 09-10-20, Amount \$560.27



Check # 0674, Posted 09-28-20, Amount \$783.26



Check # 0677, Posted 09-30-20, Amount \$889.59

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- (1) Tell us your name and account number (if any).
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe there is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

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We will determine whether an error occurred within 10 business days after we hear from you and will correct any error promptly. If we need more time, however, we may take up to 45 days to investigate your complaint or question. If we decide to do this, we will credit your account within 10 business days for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation. If we ask you to put your complaint or question in writing and we do not receive it within 10 business days, we may not credit your account.

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We will tell you the results within three business days after completing our investigation. If we decide that there was no error, we will send you a written explanation.

You may ask for copies of the documents that we used in our investigation.



Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
107 MARIN
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	1763	Beginning balance	\$35,553.87
Low balance	\$47,182.04	Deposits/Additions	\$17,875.92
Average balance	\$50,686.25	Withdrawals/Subtractions	\$6,247.75
Interest earned	\$0.00	Ending balance	\$47,182.04

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	2,184.00
09-02	Remote Capture Dep	4,120.00
09-03	Remote Capture Dep	205.00
09-08	Remote Capture Dep	651.00
09-09	Remote Capture Dep	24.92
Total Other Deposits/ Additions		\$7,184.92

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-03	ACH Debit Marin Water Purchase 20200903	427.46
09-21	ACH Debit Marin Sanitary S Auto Pay 20200921	520.44
09-25	ACH Debit Pacific Gas & El Payment 20200924	148.88
Total ACH and Electronic Payments/Subtractions		\$1,096.78

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-01	ACH Credit Marin County Che Ap/Ar 200026838 12114021 8 0501986475	10,691.00
Total ACH and Electronic Deposits/Additions		\$10,691.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	35,553.87	09-09	53,002.33	09-21	49,956.06
09-01	48,428.87	09-10	51,588.43	09-25	49,559.55
09-02	52,548.87	09-11	51,401.50	09-28	48,129.80
09-03	52,326.41	09-15	50,476.50	09-30	47,182.04
09-08	52,977.41				

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1747	\$247.63	09-25	1751	\$1,413.90	09-10
*1749	\$186.93	09-11	1752	\$1,429.75	09-28
1750	\$925.00	09-15	*1759	\$947.76	09-30

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 6 for -\$5,150.97

PROFESSIONAL FINANCIAL INVESTORS INC

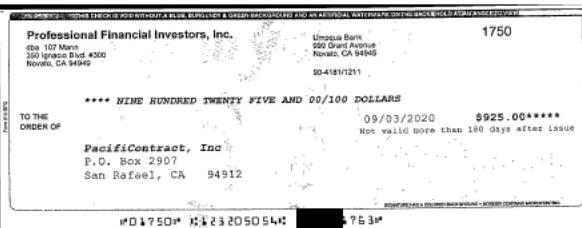
September 30, 2020 Page: 3 of 3



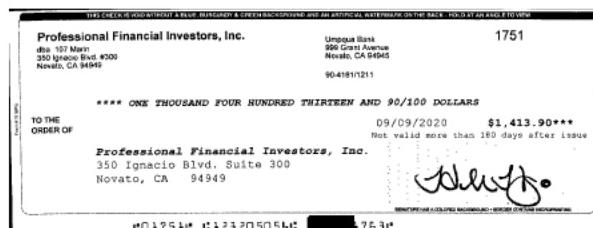
Check # 1747, Posted 09-25-20, Amount \$247.63



Check # 1749, Posted 09-11-20, Amount \$186.93



Check # 1750, Posted 09-15-20, Amount \$925.00



Check # 1751, Posted 09-10-20, Amount \$1,413.90



Check # 1752, Posted 09-28-20, Amount \$1,429.75



Check # 1759, Posted 09-30-20, Amount \$947.76

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS

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September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
3RD STREET APARTMENTS
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	6841	Beginning balance	\$5,647.93
Low balance	\$5,647.93	Deposits/Additions	\$7,775.28
Average balance	\$10,410.79	Withdrawals/Subtractions	\$4,539.20
Interest earned	\$0.00	Ending balance	\$8,884.01

Deposits/Additions

Date	Description	Additions
09-04	Deposit	244.25
Total Additions		\$244.25

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	3,000.00
09-08	Remote Capture Dep	2,450.00
09-16	Remote Capture Dep	2,081.03
Total Other Deposits/ Additions		\$7,531.03

ACH and Electronic Payments/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-14	ACH Debit Nmwrd 4158974133 20200914	314.11
09-22	ACH Debit Recology Sonoma Web_pay 20200922	97.60
09-22	ACH Debit Pgande Web Online 20200922	122.94
Total ACH and Electronic Payments/Subtractions		\$534.65

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-25	Cash Mgmt Trsfr Dr Ref 26917041 Funds Transfer To Dep 4863088839 From Deposit Correction Req From Fred	2,081.03
Total Other Withdrawals/Subtractions		\$2,081.03

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	5,647.93	09-10	10,590.13	09-25	10,055.48
09-02	8,647.93	09-14	10,276.02	09-28	9,305.92
09-04	8,892.18	09-16	12,357.05	09-30	8,884.01
09-08	11,342.18	09-22	12,136.51		

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$35.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1065	\$354.69	09-10	1067	\$749.56	09-28
1066	\$397.36	09-10	1068	\$421.91	09-30

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 4 for -\$1,923.52

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Customer Service:
1-866-486-7782

PROFESSIONAL INVESTORS
30-42 CLAY COURT
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	0740	Beginning balance	\$31,478.19
Low balance	\$38,213.19	Deposits/Additions	\$35,530.00
Average balance	\$47,595.13	Withdrawals/Subtractions	\$26,377.62
Interest earned	\$0.00	Ending balance	\$40,630.57

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	2,475.00
09-03	Remote Capture Dep	3,345.00
09-04	Remote Capture Dep	8,650.00
09-08	Remote Capture Dep	11,825.00
Total Other Deposits/ Additions		\$26,295.00

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-10	ACH Debit Pacheco Villa Debits 20200910	1,356.00
09-10	ACH Debit Pacheco Villa Debits 20200910	1,356.00
09-10	ACH Debit Pacheco Villa Debits 20200910	1,356.00
09-10	ACH Debit Pacheco Villa Debits 20200910	1,356.00
09-14	ACH Debit Tri Co Bnk Loan Payment 007620037460 007620037460	3,513.33
09-14	ACH Debit Tri Co Bnk Loan Payment 007620031360 007620031360	9,112.28
Total ACH and Electronic Payments/Subtractions		\$18,049.61

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-01	ACH Credit Marin County Che Ap/Ar 200026833 12114021 8 0501986475	2,850.00
09-01	ACH Credit Marin County Che Ap/Ar 200026836 12114021 8 0501986475	2,175.00
09-01	ACH Credit Marin County Che Ap/Ar 200027057 12114021 8 0501986475	1,710.00
09-04	ACH Credit Prof Financial ACH 3768023322 Credit Offset For Originated Debits	2,500.00
Total ACH and Electronic Deposits/Additions		\$9,235.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	31,478.19	09-04	54,409.36	09-14	43,680.78
09-01	38,213.19	09-08	62,904.36	09-28	42,038.47
09-02	40,688.19	09-10	56,315.57	09-30	40,630.57
09-03	44,033.19	09-11	56,306.39		

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$35.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

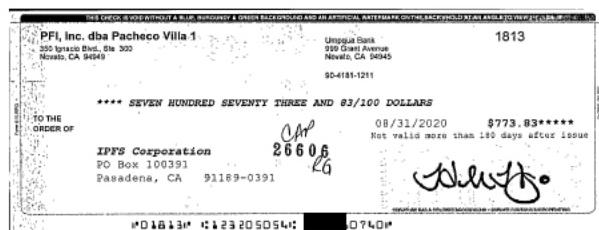
<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1813	\$773.83	09-04	1816	\$1,164.79	09-10
1814	\$3,330.00	09-08	*1818	\$1,642.31	09-28
1815	\$9.18	09-11	*1821	\$1,407.90	09-30

(* Skip in check sequence, R-Check has been returned, + Electronified check))

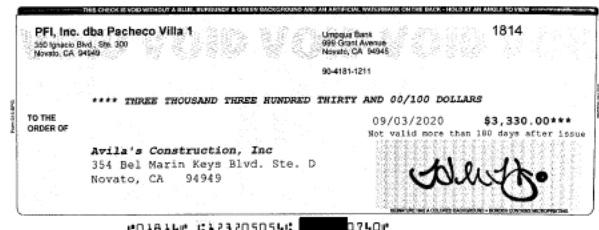
Total Checks paid: 6 for -\$8,328.01

PROFESSIONAL INVESTORS

September 30, 2020 Page: 3 of 3



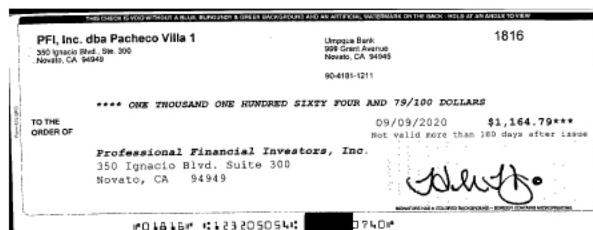
Check # 1813, Posted 09-04-20, Amount \$773.83



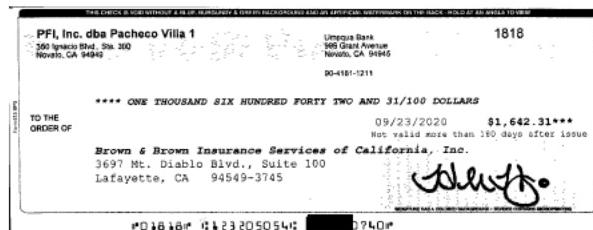
Check # 1814, Posted 09-08-20, Amount \$3,330.00



Check # 1815, Posted 09-11-20, Amount \$9.18



Check # 1816, Posted 09-10-20, Amount \$1,164.79



Check # 1818, Posted 09-28-20, Amount \$1,642.31



Check # 1821, Posted 09-30-20, Amount \$1,407.90

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Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
DBA 117-121 PAUL DRIVE
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	2461	Beginning balance	\$16,468.96
Low balance	\$20,562.45	Deposits/Additions	\$18,352.34
Average balance	\$25,170.67	Withdrawals/Subtractions	\$14,258.85
Interest earned	\$0.00	Ending balance	\$20,562.45

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	9,526.79
09-03	Remote Capture Dep	4,040.00
09-08	Remote Capture Dep	506.84
Total Other Deposits/ Additions		\$14,073.63

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-08	ACH Debit Heritage Bank Transfer Loan Payment	7,813.21
09-10	ACH Debit Marin Water Purchase 20200910	1,355.61
09-21	ACH Debit Marin Sanitary S Auto Pay 20200921	387.63
09-22	ACH Debit Pgande Web Online 20200922	1,504.12
Total ACH and Electronic Payments/Subtractions		\$11,060.57

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-04	ACH Credit Professional Fin ACH 6802332461 Credit Offset For Originated Debits	4,278.71
Total ACH and Electronic Deposits/Additions		\$4,278.71

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	16,468.96	09-08	26,588.09	09-21	23,745.96
09-01	25,575.75	09-09	25,957.95	09-22	22,241.84
09-03	29,615.75	09-10	24,602.34	09-28	20,562.45
09-04	33,894.46	09-14	24,133.59	09-30	20,562.45

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1461	\$420.00	09-01	1464	\$550.14	09-09
1462	\$468.75	09-14	1465	\$75.00	09-28
1463	\$80.00	09-09	1466	\$1,604.39	09-28

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 6 for -\$3,198.28

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September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
DBA 353 BEL MARIN KEYS
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

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ANALYZED BUSINESS CHECKING

Account number	2589	Beginning balance	\$14,202.09
Low balance	\$17,048.77	Deposits/Additions	\$26,660.88
Average balance	\$27,145.49	Withdrawals/Subtractions	\$23,814.20
Interest earned	\$0.00	Ending balance	\$17,048.77

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	7,218.32
09-02	Remote Capture Dep	1,133.59
09-03	Remote Capture Dep	1,762.98
09-08	Remote Capture Dep	3,029.09
09-08	Remote Capture Dep	2,035.69
09-08	Remote Capture Dep	435.00
09-09	Remote Capture Dep	1,741.50
09-11	Remote Capture Dep	924.70
09-11	Remote Capture Dep	616.47
09-16	Remote Capture Dep	1,838.97
09-22	Remote Capture Dep	416.41
09-25	Cash Mgmt Trsfr Cr Ref 26917171 Funds Transfer Frm Dep 4863088839 From Corrected My Error From 390 353	2,081.03
Total Other Deposits/ Additions		\$23,233.75

ACH and Electronic Payments/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-22	ACH Debit Pgande Web Online 20200922	99.95
09-22	ACH Debit Pgande Web Online 20200922	365.78
09-22	ACH Debit Recology Sonoma Web_pay 20200922	510.80
09-22	ACH Debit Recology Sonoma Web_pay 20200922	510.80
09-23	ACH Debit Avidbank At Trnsfer At External Transf Er Avidbank Acct 0000111460	12,851.18
Total ACH and Electronic Payments/Subtractions		\$14,338.51

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-04	ACH Credit Professional Fin ACH 6802332589 Credit Offset For Originated Debits	1,954.77
09-21	ACH Credit Horiba Instrumen ACH Pmt 20200918	1,472.36
Total ACH and Electronic Deposits/Additions		\$3,427.13

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	14,202.09	09-08	31,251.80	09-21	33,692.93
09-01	21,255.41	09-09	32,388.30	09-22	32,622.01
09-02	22,389.00	09-11	33,816.08	09-23	19,770.83
09-03	24,151.98	09-14	30,501.60	09-25	21,851.86
09-04	26,106.75	09-16	32,220.57	09-28	17,048.77
				09-30	17,048.77

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1650	\$165.00	09-01	1655	\$113.39	09-11
1651	\$3,063.25	09-14	1656	\$120.00	09-16
1652	\$605.00	09-09	1657	\$195.00	09-28
1653	\$251.23	09-14	1658	\$4,608.09	09-28
1654	\$354.73	09-08			

(* Skip in check sequence, R-Check has been returned, + Electronified check)

Total Checks paid: 9 for -\$9,475.69

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September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
REDWOOD MANOR APARTMENTS
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

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ANALYZED BUSINESS CHECKING

Account number	1478	Beginning balance	\$13,750.63
Low balance	\$13,478.90	Deposits/Additions	\$16,235.00
Average balance	\$22,848.76	Withdrawals/Subtractions	\$9,554.17
Interest earned	\$0.00	Ending balance	\$20,431.46

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	4,444.00
09-03	Remote Capture Dep	3,945.00
09-04	Remote Capture Dep	2,050.00
09-08	Remote Capture Dep	4,100.00
Total Other Deposits/ Additions		\$14,539.00

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-08	ACH Debit First Foundation Loan Pymt 00000000010163800 First Foundation B Ank	5,595.33
09-22	ACH Debit Recology Sonoma Web_pay 20200922	440.64
09-25	ACH Debit Pgande Web Online 20200925	250.69
Total ACH and Electronic Payments/Subtractions		\$6,286.66

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-02	ACH Credit Sonoma County Co Hap Mo Pmt 8440 20200902	1,696.00
Total ACH and Electronic Deposits/Additions		\$1,696.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	13,750.63	09-04	25,613.90	09-22	22,723.06
09-01	13,478.90	09-08	24,118.57	09-25	22,472.37
09-02	19,618.90	09-10	23,482.70	09-28	21,106.03
09-03	23,563.90	09-16	23,163.70	09-30	20,431.46

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1645	\$271.73	09-01	1648	\$377.00	09-28
1646	\$635.87	09-10	1649	\$989.34	09-28
1647	\$319.00	09-16	*1651	\$674.57	09-30

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 6 for -\$3,267.51

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS

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You may ask for copies of the documents that we used in our investigation.



September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
390 WOODLAND APARTMENTS
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	8839	Beginning balance	\$31,330.62
Low balance	\$37,565.00	Deposits/Additions	\$15,883.03
Average balance	\$40,996.55	Withdrawals/Subtractions	\$9,648.65
Interest earned	\$0.00	Ending balance	\$37,565.00

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	1,275.00
09-03	Remote Capture Dep	3,536.00
09-08	Remote Capture Dep	751.00
09-25	Cash Mgmt Trsfr Cr Ref 26917041 Funds Transfer Frm Dep 4870586841 From Deposit Correction Req From Fred	2,081.03
Total Other Deposits/ Additions		\$7,643.03

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-01	ACH Debit Pgande Web Online 20200901	86.33
09-03	ACH Debit Marin Water Purchase 20200903	325.20
09-14	ACH Debit Jpmorgan Chase Loan Draft 200277904 20200914	4,151.50
09-21	ACH Debit Marin Sanitary S Auto Pay 20200921	376.96
Total ACH and Electronic Payments/Subtractions		\$4,939.99

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-01	ACH Credit Marin County Che Ap/Ar 200027034 12114021 8 0501986475	8,240.00
Total ACH and Electronic Deposits/Additions		\$8,240.00

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-25	Cash Mgmt Trsfr Dr Ref 26917171 Funds Transfer To Dep 4868162589 From Corrected My Error From 390 353	2,081.03
Total Other Withdrawals/Subtractions		\$2,081.03

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	31,330.62	09-10	43,712.83	09-25	39,164.57
09-01	40,759.29	09-14	39,561.33	09-28	38,213.26
09-03	43,970.09	09-15	39,541.53	09-30	37,565.00
09-08	44,361.09	09-21	39,164.57		

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1029	\$360.00	09-08	1032	\$951.31	09-28
1030	\$19.80	09-15	*1034	\$648.26	09-30
1031	\$648.26	09-10			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 5 for -\$2,627.63

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You may ask for copies of the documents that we used in our investigation.



September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
419 PROSPECT DR, SAN RAFAEL
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	5799	Beginning balance	\$18,646.97
Low balance	\$27,269.86	Deposits/Additions	\$20,320.00
Average balance	\$30,953.38	Withdrawals/Subtractions	\$11,697.11
Interest earned	\$0.00	Ending balance	\$27,269.86

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	7,279.00
09-04	Remote Capture Dep	1,495.00
09-08	Remote Capture Dep	504.00
09-10	Remote Capture Dep	209.00
Total Other Deposits/ Additions		\$9,487.00

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-01	ACH Debit Pgande Web Online 20200901	63.25
09-08	ACH Debit First Foundation Loan Pymt 00000000010159800 First Foundation B Ank	7,167.88
09-09	ACH Debit Marin Water Purchase 20200909	430.59
09-21	ACH Debit Marin Sanitary S Auto Pay 20200921	568.47
Total ACH and Electronic Payments/Subtractions		\$8,230.19

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-01	ACH Credit Marin County Che Ap/Ar 200026832 12114021 8 0501986475	5,383.00
09-04	ACH Credit Professional Fi ACH 6802335799 Credit Offset For Originated Debits	5,450.00
Total ACH and Electronic Deposits/Additions		\$10,833.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	18,646.97	09-09	31,096.25	09-21	29,392.88
09-01	31,245.72	09-10	29,976.13	09-28	28,412.20
09-04	38,190.72	09-14	29,961.35	09-30	27,269.86
09-08	31,526.84				

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1552	\$14.78	09-14	1554	\$980.68	09-28
1553	\$1,329.12	09-10	*1556	\$1,142.34	09-30

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 4 for -\$3,466.92

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Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
PROFESSIONAL INVESTORS
461
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	1765	Beginning balance	\$21,544.71
Low balance	\$21,544.71	Deposits/Additions	\$24,975.01
Average balance	\$36,540.52	Withdrawals/Subtractions	\$16,655.04
Interest earned	\$0.00	Ending balance	\$29,864.68

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	4,712.00
09-02	Remote Capture Dep	2,125.00
09-04	Remote Capture Dep	1,248.00
09-08	Remote Capture Dep	9,520.00
09-08	Remote Capture Dep	3,766.00
09-08	Remote Capture Dep	2,625.00
09-28	Remote Capture Dep	979.01
Total Other Deposits/ Additions		\$24,975.01

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-18	ACH Debit Pacific Gas & El Payment 20200917	426.07
09-21	ACH Debit Tri Co Bnk Loan Payment 005320030760 005320030760	11,369.08
09-29	ACH Debit Comcast 8155300 130745771 20200929	53.86
Total ACH and Electronic Payments/Subtractions		\$11,849.01

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-21	Research Charge Stmt 092013-012014	15.00
Total Other Withdrawals/Subtractions		\$15.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	21,544.71	09-10	44,552.26	09-28	32,243.65
09-02	28,381.71	09-18	44,126.19	09-29	32,189.79
09-04	28,965.98	09-21	32,742.11	09-30	29,864.68
09-08	44,876.98				

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$35.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
56638	\$663.73	09-04	56641	\$1,477.47	09-28
56639	\$324.72	09-10	*56643	\$478.06	09-30
56640	\$1,847.05	09-30			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

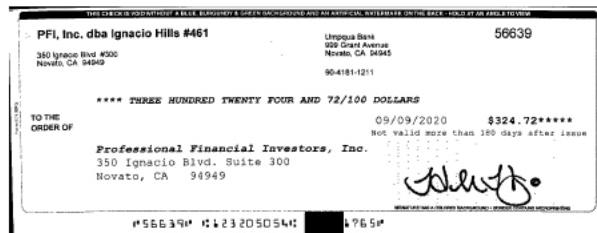
Total Checks paid: 5 for -\$4,791.03

PROFESSIONAL FINANCIAL INVESTORS INC

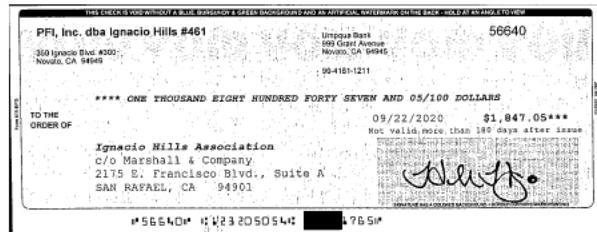
September 30, 2020 Page: 3 of 3



Check # 56638, Posted 09-04-20, Amount \$663.73



Check # 56639, Posted 09-10-20, Amount \$324.72



Check # 56640, Posted 09-30-20, Amount \$1,847.05



Check # 56641, Posted 09-28-20, Amount \$1,477.47



Check # 56643, Posted 09-30-20, Amount \$478.06

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September 30, 2020 Page: 1 of 3

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
501 PROF INV SEC FUND
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

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ANALYZED BUSINESS CHECKING

Account number	7498	Beginning balance	\$40,992.83
Low balance	\$40,992.83	Deposits/Additions	\$24,412.72
Average balance	\$57,994.12	Withdrawals/Subtractions	\$7,621.81
Interest earned	\$0.00	Ending balance	\$57,783.74

Other Deposits/ Additions

Date	Description	Additions
09-03	Remote Capture Dep	8,975.00
09-04	Remote Capture Dep	2,900.00
09-08	Remote Capture Dep	8,875.00
09-08	Remote Capture Dep	3,075.00
09-28	Remote Capture Dep	462.72
Total Other Deposits/ Additions		\$24,287.72

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-24	ACH Debit Pgande Web Online 20200924	413.44
09-29	ACH Debit Comcast 8155300 130745847 20200929	53.86
Total ACH and Electronic Payments/Subtractions		\$467.30

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-04	ACH Credit Prof Financial ACH 2968023322 Credit Offset For Originated Debits	125.00
Total ACH and Electronic Deposits/Additions		\$125.00

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-21	Research Charge Stmt 092013-012014	15.00
Total Other Withdrawals/Subtractions		\$15.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	40,992.83	09-10	61,150.24	09-28	59,680.57
09-03	49,967.83	09-14	61,123.74	09-29	59,626.71
09-04	52,329.10	09-21	61,108.74	09-30	57,783.74
09-08	61,444.10	09-24	60,695.30		

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
51354	\$663.73	09-04	51358	\$1,581.39	09-30
51355	\$2,835.00	09-08	51359	\$1,477.45	09-28
51356	\$26.50	09-14	*51361	\$261.58	09-30
51357	\$293.86	09-10			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

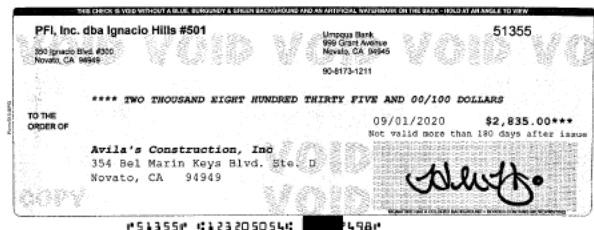
Total Checks paid: 7 for -\$7,139.51

PROFESSIONAL FINANCIAL INVESTORS INC

September 30, 2020 Page: 3 of 3



Check # 51354, Posted 09-04-20, Amount \$663.73



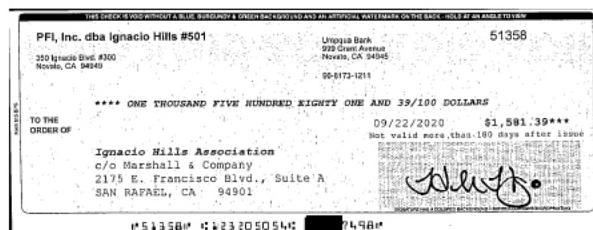
Check # 51355, Posted 09-08-20, Amount \$2,835.00



Check # 51356, Posted 09-14-20, Amount \$26.50



Check # 51357, Posted 09-10-20, Amount \$293.86



Check # 51358, Posted 09-30-20, Amount \$1,581.39



Check # 51359, Posted 09-28-20, Amount \$1,477.45



Check # 51361, Posted 09-30-20, Amount \$261.58

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You may ask for copies of the documents that we used in our investigation.



Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
515 B STREET
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

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ANALYZED BUSINESS CHECKING

Account number	8278	Beginning balance	\$25,892.94
Low balance	\$36,936.63	Deposits/Additions	\$24,436.00
Average balance	\$43,650.37	Withdrawals/Subtractions	\$5,604.72
Interest earned	\$0.00	Ending balance	\$44,724.22

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	11,425.00
09-02	Remote Capture Dep	1,866.00
09-08	Remote Capture Dep	2,000.00
09-09	Remote Capture Dep	145.00
09-11	Remote Capture Dep	4,000.00
09-21	Remote Capture Dep	3,000.00
Total Other Deposits/ Additions		\$22,436.00

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-01	ACH Debit Pgande Web Online 20200901	381.31
09-03	ACH Debit Marin Water Purchase 20200903	674.57
09-21	ACH Debit Marin Sanitary S Auto Pay 20200921	433.70
Total ACH and Electronic Payments/Subtractions		\$1,489.58

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-04	ACH Credit Prof Financial ACH 1680238278 Credit Offset For Originated Debits	2,000.00
Total ACH and Electronic Deposits/Additions		\$2,000.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	25,892.94	09-08	42,128.06	09-14	44,343.21
09-01	36,936.63	09-09	42,273.06	09-21	46,909.51
09-02	38,802.63	09-10	41,015.19	09-28	45,588.26
09-03	38,128.06	09-11	45,015.19	09-30	44,724.22
09-04	40,128.06				

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1645	\$641.49	09-14	1648	\$1,321.25	09-28
1646	\$30.49	09-14	*1651	\$864.04	09-30
1647	\$1,257.87	09-10			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 5 for -\$4,115.14

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS

Call us at 1-866-486-7782 or write us at Umpqua Bank, P.O. Box 19243, Spokane, WA 99219, as soon as you can if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. We must hear from you no later than 60 days after we send you the FIRST statement on which the error or problem appears.

- (1) Tell us your name and account number (if any).
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe there is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

If you tell us orally, we may require that you send us your complaint or question in writing within 10 business days.

We will determine whether an error occurred within 10 business days after we hear from you and will correct any error promptly. If we need more time, however, we may take up to 45 days to investigate your complaint or question. If we decide to do this, we will credit your account within 10 business days for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation. If we ask you to put your complaint or question in writing and we do not receive it within 10 business days, we may not credit your account.

For errors involving new accounts, point-of-sale, or foreign-initiated transactions, we may take up to 90 days to investigate your complaint or question. For new accounts, we may take up to 20 business days to credit your account for the amount you think is in error.

We will tell you the results within three business days after completing our investigation. If we decide that there was no error, we will send you a written explanation.

You may ask for copies of the documents that we used in our investigation.



Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
885 BROADWAY
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	1771	Beginning balance	\$20,262.91
Low balance	\$19,954.64	Deposits/Additions	\$29,120.00
Average balance	\$30,400.66	Withdrawals/Subtractions	\$20,554.66
Interest earned	\$0.00	Ending balance	\$28,828.25

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	5,345.00
09-04	Remote Capture Dep	2,175.00
09-08	Remote Capture Dep	11,833.00
09-18	Remote Capture Dep	2,100.00
09-23	Remote Capture Dep	2,100.00
Total Other Deposits/ Additions		\$23,553.00

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-08	ACH Debit Prof Financial Rtn Item 9929613228 Chargeback For Ret Urned Originated D Ebits	2,075.00
09-08	ACH Debit Pacwest Bancorp Loan Pymt 63404700106700 20200906	11,743.49
09-17	ACH Debit Pacific Gas & El Payment 20200916	30.80
09-22	ACH Debit Sonoma Water 7079332244 20200922	748.87
Total ACH and Electronic Payments/Subtractions		\$14,598.16

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-02	ACH Credit Sonoma County Co Hap Mo Pmt 8387 20200902	1,317.00
09-04	ACH Credit Prof Financial ACH 9929613228 Credit Offset For Originated Debits	4,250.00
Total ACH and Electronic Deposits/Additions		\$5,567.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	20,262.91	09-10	29,540.52	09-18	31,339.91
09-01	19,954.64	09-11	29,522.16	09-22	30,591.04
09-02	26,616.64	09-14	29,328.71	09-23	32,691.04
09-04	33,041.64	09-16	29,270.71	09-28	30,521.93
09-08	31,056.15	09-17	29,239.91	09-30	28,828.25

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
2043	\$308.27	09-01	2048	\$58.00	09-16
2044	\$138.54	09-14	2049	\$101.50	09-28
2045	\$18.36	09-11	2050	\$2,067.61	09-28
2046	\$54.91	09-14	*2053	\$1,693.68	09-30
2047	\$1,515.63	09-10			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 9 for **-\$5,956.50**

PROFESSIONAL FINANCIAL INVESTORS INC

September 30, 2020 Page: 3 of 3

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/26/2020 \$308.27***** Not valid more than 180 days after issue <i>[Signature]</i>	2043
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**** THREE HUNDRED EIGHT AND 27/100 DOLLARS

TO THE ORDER OF
David Dominguez
18235 Happy Lane
Sonoma, CA 95476

IPD 2043# 123205054# [REDACTED] 771#

Check # 2043, Posted 09-01-20, Amount \$308.27

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/13/2020 \$138.54***** Not valid more than 180 days after issue <i>[Signature]</i>	2044
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**** ONE HUNDRED THIRTY EIGHT AND 54/100 DOLLARS

TO THE ORDER OF
HD Supply Facilities Maintenance
P.O. Box 509058
San Diego, CA 92150-9058

IPD 2044# 123205054# [REDACTED] 771#

Check # 2044, Posted 09-14-20, Amount \$138.54

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/03/2020 \$18.36***** Not valid more than 180 days after issue <i>[Signature]</i>	2045
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**** EIGHTEEN AND 36/100 DOLLARS

TO THE ORDER OF
P.G. & E.
Box 997300
SACRAMENTO, CA 95899

IPD 2045# 123205054# [REDACTED] 771#

Check # 2045, Posted 09-11-20, Amount \$18.36

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/03/2020 \$54.91***** Not valid more than 180 days after issue <i>[Signature]</i>	2046
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**** FIFTY FOUR AND 91/100 DOLLARS

TO THE ORDER OF
W.L. May Co.
P.O. Box 61487
Vancouver, WA 98666

IPD 2046# 123205054# [REDACTED] 771#

Check # 2046, Posted 09-14-20, Amount \$54.91

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/09/2020 \$1,515.63*** Not valid more than 180 days after issue <i>[Signature]</i>	2047
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**** ONE THOUSAND FIVE HUNDRED FIFTEEN AND 63/100 DOLLARS

TO THE ORDER OF
Professional Financial Investors, Inc.
350 Ignacio Blvd. Suite 300
Novato, CA 94949

IPD 2047# 123205054# [REDACTED] 771#

Check # 2047, Posted 09-10-20, Amount \$1,515.63

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/11/2020 \$58.00***** Not valid more than 180 days after issue <i>[Signature]</i>	2048
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**** FIFTY EIGHT AND 00/100 DOLLARS

TO THE ORDER OF
David Dominguez
18235 Happy Lane
Sonoma, CA 95476

IPD 2048# 123205054# [REDACTED] 771#

Check # 2048, Posted 09-16-20, Amount \$58.00

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/22/2020 \$101.50***** Not valid more than 180 days after issue <i>[Signature]</i>	2049
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**** ONE HUNDRED ONE AND 50/100 DOLLARS

TO THE ORDER OF
David Dominguez
18235 Happy Lane
Sonoma, CA 95476

IPD 2049# 123205054# [REDACTED] 771#

Check # 2049, Posted 09-28-20, Amount \$101.50

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/23/2020 \$2,067.61*** Not valid more than 180 days after issue <i>[Signature]</i>	2050
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**** TWO THOUSAND SIXTY SEVEN AND 61/100 DOLLARS

TO THE ORDER OF
Brown & Brown Insurance Services of California, Inc.
3697 Mt. Diablo Blvd., Suite 100
Lafayette, CA 94549-3745

IPD 2050# 123205054# [REDACTED] 771#

Check # 2050, Posted 09-28-20, Amount \$2,067.61

THIS CHECK IS VOID WITHOUT A BLUE, BURGUNDY & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT AN ANGLE TO VIEW

PFI, Inc. dba Broadway Apartments 350 Ignacio Blvd. #300 Novato, CA 94949 90-8173-1211	Umpqua Bank 999 Grant Avenue Novato, CA 94945 09/30/2020 \$1,693.68*** Not valid more than 180 days after issue <i>[Signature]</i>	2053
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**** ONE THOUSAND SIX HUNDRED NINETY THREE AND 68/100 DOLLARS

TO THE ORDER OF
Professional Financial Investors, Inc.
350 Ignacio Blvd. Suite 300
Novato, CA 94949

IPD 2053# 123205054# [REDACTED] 771#

Check # 2053, Posted 09-30-20, Amount \$1,693.68

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS

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You may ask for copies of the documents that we used in our investigation.



September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
RAFAEL GARDENS
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	5643	Beginning balance	\$6,193.91
Low balance	\$5,408.13	Deposits/Additions	\$22,242.50
Average balance	\$14,871.48	Withdrawals/Subtractions	\$23,028.28
Interest earned	\$0.00	Ending balance	\$5,408.13

Deposits/Additions

Date	Description	Additions
09-04	Deposit	197.50
Total Additions		\$197.50

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	3,600.00
09-03	Remote Capture Dep	3,450.00
09-04	Remote Capture Dep	2,000.00
09-08	Remote Capture Dep	6,800.00
09-16	Remote Capture Dep	1,750.00
Total Other Deposits/ Additions		\$17,600.00

ACH and Electronic Payments/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-01	ACH Debit Pgande Web Online 20200901	407.72
09-09	ACH Debit Marin Water Purchase 20200909	345.19
09-21	ACH Debit Marin Sanitary S Auto Pay 20200921	834.00
Total ACH and Electronic Payments/Subtractions		\$1,586.91

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-04	ACH Credit Professional Fin ACH 6802335643 Credit Offset For Originated Debits	4,445.00
Total ACH and Electronic Deposits/Additions		\$4,445.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	6,193.91	09-09	25,933.50	09-22	7,930.29
09-01	9,386.19	09-10	23,004.29	09-25	7,398.70
09-03	12,836.19	09-16	24,754.29	09-28	5,408.13
09-04	19,478.69	09-18	8,874.29	09-30	5,408.13
09-08	26,278.69	09-21	8,040.29		

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$35.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1208	\$2,929.21	09-10	1211	\$110.00	09-22
1209	\$15,880.00	09-18	1212	\$1,990.57	09-28
1210	\$531.59	09-25			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 5 for -\$21,441.37

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS

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You may ask for copies of the documents that we used in our investigation.



Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
1506 VALLEJO AVE
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	8965	Beginning balance	\$6,180.28
Low balance	\$8,318.43	Deposits/Additions	\$10,825.00
Average balance	\$11,276.03	Withdrawals/Subtractions	\$8,686.85
Interest earned	\$0.00	Ending balance	\$8,318.43

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	2,800.00
09-04	Remote Capture Dep	3,443.00
09-08	Remote Capture Dep	1,995.00
Total Other Deposits/ Additions		\$8,238.00

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-10	ACH Debit Heritage Bank Transfer Loan Pmt 1578xx	5,176.94
09-14	ACH Debit Nmwd 4158974133 20200914	228.49
09-22	ACH Debit Pgande Web Online 20200922	22.57
09-22	ACH Debit Recology Sonoma Web_pay 20200922	293.53
Total ACH and Electronic Payments/Subtractions		\$5,721.53

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-01	ACH Credit Marin County Che Ap/Ar 200026830 12114021 8 0501986475	2,587.00
Total ACH and Electronic Deposits/Additions		\$2,587.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	6,180.28	09-08	17,005.28	09-22	10,042.33
09-01	8,767.28	09-10	10,586.92	09-28	9,269.99
09-02	11,567.28	09-14	10,358.43	09-30	8,318.43
09-04	15,010.28				

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$105.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1388	\$620.71	09-10	1390	\$772.34	09-28
1389	\$620.71	09-10	*1392	\$951.56	09-30

(* Skip in check sequence, R-Check has been returned, + Electronified check)

Total Checks paid: 4 for -\$2,965.32

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS

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You may ask for copies of the documents that we used in our investigation.



September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
DBA 16914 SONOMA HIGHWAY
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	1682	Beginning balance	\$38,609.87
Low balance	\$39,575.37	Deposits/Additions	\$36,862.35
Average balance	\$54,728.69	Withdrawals/Subtractions	\$30,360.84
Interest earned	\$0.00	Ending balance	\$45,111.38

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	9,880.00
09-03	Remote Capture Dep	4,195.00
09-03	Remote Capture Dep	1,370.00
09-04	Remote Capture Dep	6,075.00
09-08	Remote Capture Dep	9,873.00
09-28	Remote Capture Dep	574.27
09-28	Remote Capture Dep	300.08
Total Other Deposits/ Additions		\$32,267.35

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-04	ACH Debit Comcast 8155300 450421912 20200904	90.01
09-08	ACH Debit First Foundation Loan Pymt 00000000010138700 First Foundation B Ank	11,949.80
09-22	ACH Debit Recology Sonoma Web_pay 20200922	1,161.43
09-24	ACH Debit Pgande Web Online 20200924	115.89
09-30	ACH Debit Valley Of The Mo Billpay 20200930	1,929.89
Total ACH and Electronic Payments/Subtractions		\$15,247.02

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-01	ACH Credit City Santa Rosa Payment 20200901	1,096.00
09-02	ACH Credit Sonoma County Co Hap Mo Pmt 8429 20200902	3,499.00
Total ACH and Electronic Deposits/Additions		\$4,595.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	38,609.87	09-10	57,781.02	09-21	54,011.54
09-01	39,575.37	09-11	57,431.06	09-22	50,661.91
09-02	52,954.37	09-14	57,312.39	09-23	50,566.91
09-03	58,519.37	09-15	55,767.39	09-24	50,451.02
09-04	63,428.52	09-16	55,245.39	09-28	48,619.41
09-08	59,211.72	09-18	55,211.54	09-30	45,111.38

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1644	\$130.50	09-01	1653	\$44.03	09-11
1645	\$1,075.84	09-04	1654	\$118.67	09-14
1646	\$340.00	09-08	1655	\$1,430.70	09-10
1647	\$1,800.00	09-08	1656	\$522.00	09-16
1648	\$1,200.00	09-21	1657	\$2,188.20	09-22
1649	\$33.85	09-18	1658	\$217.50	09-28
1650	\$305.93	09-11	1659	\$2,488.46	09-28
1651	\$1,545.00	09-15	*1663	\$1,578.14	09-30
1652	\$95.00	09-23			

(* Skip in check sequence, R-Check has been returned, + Electronified check)

Total Checks paid: 17 for -\$15,113.82

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Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
DUFFY PLACE
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	5375	Beginning balance	\$170,257.16
Low balance	\$50,233.78	Deposits/Additions	\$81,137.03
Average balance	\$97,261.49	Withdrawals/Subtractions	\$193,541.83
Interest earned	\$0.00	Ending balance	\$57,852.36

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	9,479.39
09-08	Remote Capture Dep	9,542.22
09-08	Remote Capture Dep	3,461.75
09-14	Remote Capture Dep	20,649.45
09-18	Remote Capture Dep	22,197.93
09-29	Wire Transfer-in Incoming Fls Acct# xxxx 1922 Financial Ser Vices LLC, 1922 61 5 Irwin St October 2020 Rent 20-1696	7,618.58
Total Other Deposits/ Additions		\$72,949.32

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-03	ACH Debit Marin Water Purchase 20200903	114.01
09-03	ACH Debit Marin Water Purchase 20200903	373.01
09-14	ACH Debit Jpmorgan Chase Loan Draft 100028923 20200914	21,541.56
Total ACH and Electronic Payments/Subtractions		\$22,028.58

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-04	ACH Credit Prof Financial ACH 3068023322 Credit Offset For Originated Debits	8,187.71
Total ACH and Electronic Deposits/Additions		\$8,187.71

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-01	Cash Mgmt Trsfr Dr Ref 2452341I Funds Transfer To Dep 20112763 From Transfer From To F Und Operations	100,000.00
09-28	Cash Mgmt Trsfr Dr Ref 2721532I Funds Transfer To Dep 20112763 From Trans To Pfi Gener Al For Add Funds	60,000.00
Total Other Withdrawals/Subtractions		\$160,000.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	170,257.16	09-08	99,344.41	09-22	115,766.14
09-01	79,736.55	09-11	99,013.60	09-28	50,233.78
09-03	79,249.53	09-14	98,121.49	09-29	57,852.36
09-04	87,437.24	09-18	120,319.42	09-30	57,852.36

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

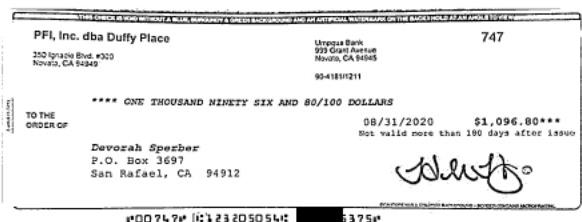
<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
747	\$1,096.80	09-08	749	\$4,553.28	09-22
748	\$330.81	09-11	750	\$5,532.36	09-28

(* Skip in check sequence, R-Check has been returned, + Electronified check))

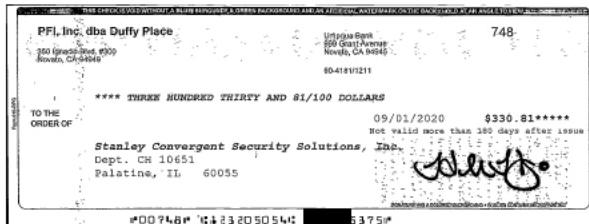
Total Checks paid: 4 for -\$11,513.25

PROFESSIONAL FINANCIAL INVESTORS INC

September 30, 2020 Page: 3 of 3



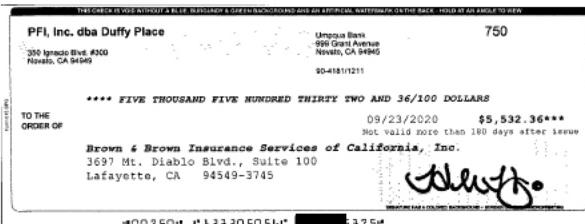
Check # 0747, Posted 09-08-20, Amount \$1,096.80



Check # 0748, Posted 09-11-20, Amount \$330.81



Check # 0749, Posted 09-22-20, Amount \$4,553.28



Check # 0750, Posted 09-28-20, Amount \$5,532.36

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Customer Service:
1-866-486-7782

PROFESSIONAL INVESTORS
GATE 5
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

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ANALYZED BUSINESS CHECKING

Account number	6910	Beginning balance	\$21,116.53
Low balance	\$15,716.62	Deposits/Additions	\$28,621.68
Average balance	\$31,067.76	Withdrawals/Subtractions	\$34,021.59
Interest earned	\$0.00	Ending balance	\$15,716.62

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	12,866.75
09-02	Remote Capture Dep	1,246.29
09-14	Remote Capture Dep	6,288.70
Total Other Deposits/ Additions		\$20,401.74

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-01	ACH Debit Pacific Gas & EI Payment 20200831	8.12
09-01	ACH Debit Pacific Gas & EI Payment 20200831	8.12
09-01	ACH Debit Pacific Gas & EI Payment 20200831	8.12
09-04	ACH Debit Pacific Gas & EI Payment 20200903	24.00
09-04	ACH Debit Pacific Gas & EI Payment 20200903	25.93
09-04	ACH Debit Pacific Gas & EI Payment 20200903	27.64
09-04	ACH Debit Pacific Gas & EI Payment 20200903	40.92
09-04	ACH Debit Pacific Gas & EI Payment 20200903	45.62
09-04	ACH Debit Pacific Gas & EI Payment 20200903	51.58
09-04	ACH Debit Pacific Gas & EI Payment 20200903	67.62
09-04	ACH Debit Pacific Gas & EI Payment 20200903	77.72
09-04	ACH Debit Pacific Gas & EI Payment 20200903	120.62
09-04	ACH Debit Pacific Gas & EI Payment 20200903	125.48

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-04	ACH Debit Pacific Gas & EI Payment 20200903	178.19
09-09	ACH Debit Pgande Web Online 20200909	113.60
09-11	ACH Debit Pacific Gas & EI Payment 20200910	229.11
09-14	ACH Debit Comcast Cable 20200914	153.49
09-14	ACH Debit Jpmorgan Chase Loan Draft 100028921 20200914	19,533.44
Total ACH and Electronic Payments/Subtractions		\$20,839.32

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-04	ACH Credit Prof Financial ACH 3168023322 Credit Offset For Originated Debits	8,219.94
Total ACH and Electronic Deposits/Additions		\$8,219.94

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-21	Research Charge Stmt 092013-012014	12.00
Total Other Withdrawals/Subtractions		\$12.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	21,116.53	09-09	42,436.23	09-23	22,595.74
09-01	33,868.92	09-11	42,207.12	09-25	21,186.54
09-02	35,115.21	09-14	28,808.89	09-28	16,446.06
09-04	42,549.83	09-21	22,698.89	09-29	15,716.62
				09-30	15,716.62

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$105.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1262	\$90.00	09-01	1266	\$729.44	09-29
1263	\$103.15	09-23	*1269	\$5,948.00	09-21
1264	\$1,409.20	09-25	1270	\$4,740.48	09-28
1265	\$150.00	09-21			

(* Skip in check sequence, R-Check has been returned, + Electronified check)

Total Checks paid: 7 for -\$13,170.27

PROFESSIONAL INVESTORS

September 30, 2020 Page: 3 of 3



Check # 1262, Posted 09-01-20, Amount \$90.00



Check # 1263, Posted 09-23-20, Amount \$103.15



Check # 1264, Posted 09-25-20, Amount \$1,409.20



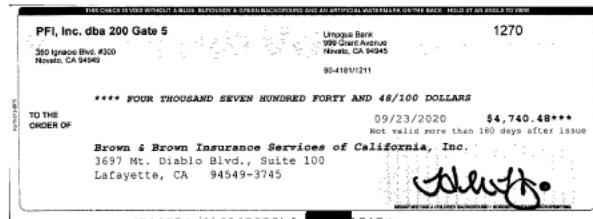
Check # 1265, Posted 09-21-20, Amount \$150.00



Check # 1266, Posted 09-29-20, Amount \$729.44



Check # 1269, Posted 09-21-20, Amount \$5,948.00



Check # 1270, Posted 09-28-20, Amount \$4,740.48

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Customer Service:
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PROFESSIONAL INVESTORS
IGNACIO LANE APARTMENTS
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
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ANALYZED BUSINESS CHECKING

Account number	0575	Beginning balance	\$14,888.85
Low balance	\$16,526.85	Deposits/Additions	\$13,720.00
Average balance	\$25,083.49	Withdrawals/Subtractions	\$3,502.91
Interest earned	\$0.00	Ending balance	\$25,105.94

Other Deposits/ Additions

Date	Description	Additions
09-02	Remote Capture Dep	282.00
09-04	Remote Capture Dep	3,450.00
09-08	Remote Capture Dep	3,700.00
09-28	Remote Capture Dep	1,000.00
Total Other Deposits/ Additions		\$8,432.00

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-22	ACH Debit Recology Sonoma Web_pay 20200922	275.55
09-24	ACH Debit Pgande Web Online 20200924	140.72
Total ACH and Electronic Payments/Subtractions		\$416.27

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-01	ACH Credit Marin County Che Ap/Ar 200026831 12114021 8 0501986475	1,638.00
09-04	ACH Credit Prof Financial ACH 6802330575 Credit Offset For Originated Debits	3,650.00
Total ACH and Electronic Deposits/Additions		\$5,288.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	14,888.85	09-08	27,608.85	09-24	26,046.48
09-01	16,526.85	09-10	26,462.75	09-28	26,143.91
09-02	16,808.85	09-22	26,187.20	09-30	25,105.94
09-04	23,908.85				

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

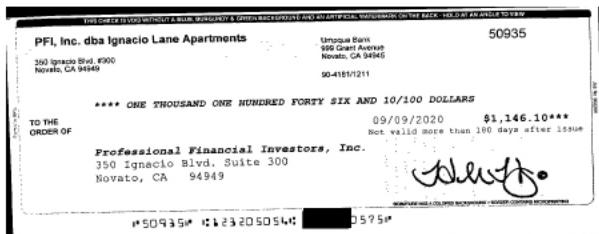
<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
50935	\$1,146.10	09-10	*50938	\$1,037.97	09-30
50936	\$902.57	09-28			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 3 for -\$3,086.64

PROFESSIONAL INVESTORS

September 30, 2020 Page: 3 of 3



Check # 50935, Posted 09-10-20, Amount \$1,146.10



Check # 50938, Posted 09-30-20, Amount \$1,037.97



Check # 50936, Posted 09-28-20, Amount \$902.57

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September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
7 MERRYDALE ROAD
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

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ANALYZED BUSINESS CHECKING

Account number	7177	Beginning balance	\$20,901.84
Low balance	\$22,811.84	Deposits/Additions	\$15,353.50
Average balance	\$30,207.13	Withdrawals/Subtractions	\$9,597.28
Interest earned	\$0.00	Ending balance	\$26,658.06

Deposits/Additions

Date	Description	Additions
09-04	Deposit	203.50
Total Additions		\$203.50

Other Deposits/ Additions

Date	Description	Additions
09-03	Remote Capture Dep	8,900.00
09-08	Remote Capture Dep	2,540.00
09-08	Remote Capture Dep	1,800.00
Total Other Deposits/ Additions		\$13,240.00

ACH and Electronic Payments/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-08	ACH Debit Red Mortgage7313 Cash C&d 4866807177 20200904	4,380.69
09-10	ACH Debit Marin Water Purchase 20200910	481.83
09-21	ACH Debit Marin Sanitary S Auto Pay 20200921	520.44
09-30	ACH Debit Pgande Web Online 20200930	54.44
Total ACH and Electronic Payments/Subtractions		\$5,437.40

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-01	ACH Credit Marin County Che Ap/Ar 200027054 12114021 8 0501986475	1,910.00
Total ACH and Electronic Deposits/Additions		\$1,910.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	20,901.84	09-04	31,915.34	09-21	30,333.75
09-01	22,811.84	09-08	31,874.65	09-28	29,538.63
09-03	31,711.84	09-10	30,854.19	09-30	26,658.06

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1459	\$538.63	09-10	1462	\$749.00	09-30
1460	\$1,126.00	09-30	*1464	\$951.13	09-30
1461	\$795.12	09-28			

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 5 for -\$4,159.88

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September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
D B A NORTH BAY CENTER
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	6136	Beginning balance	\$96,635.97
Low balance	\$64,031.53	Deposits/Additions	\$98,076.68
Average balance	\$82,147.98	Withdrawals/Subtractions	\$130,238.50
Interest earned	\$0.00	Ending balance	\$64,474.15

Other Deposits/ Additions

Date	Description	Additions
09-01	Remote Capture Dep	67,962.53
09-03	Remote Capture Dep	20,417.85
Total Other Deposits/ Additions		\$88,380.38

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-08	ACH Debit Poppy Bank Trans Pmt 20200905	21,287.86
09-14	ACH Debit Nmwd 4158974133 20200914	94.20
09-14	ACH Debit Nmwd 4158974133 20200914	1,648.06
09-15	ACH Debit Pgande Web Online 20200915	12,225.20
09-22	ACH Debit Recology Sonoma Web_pay 20200922	728.34
09-28	ACH Debit Frontier Online E-bill 20200928	118.63
Total ACH and Electronic Payments/Subtractions		\$36,102.29

ACH and Electronic Deposits/Additions

<u>Date</u>	<u>Description</u>	<u>Additions</u>
09-02	ACH Credit Stripe Transfer St-l9n4h1l6l2y5 20200902	597.22
09-03	ACH Credit Stripe Transfer St-l2c5e4s8b9h9 20200903	23.97
09-04	ACH Credit Prof Financial ACH 6802336136 Credit Offset For Originated Debits	5,330.77
09-10	ACH Credit Stripe Transfer St-z3t2t9x6m5i6 20200910	999.23
09-10	ACH Credit Stripe Transfer St-n9t7z8g8d9r2 20200910	48.25
09-11	ACH Credit Stripe Transfer St-a7p6o8w0q4k5 20200911	107.32
09-14	ACH Credit Stripe Transfer St-b5z7s7k9n3r1 20200914	48.25
09-16	ACH Credit Stripe Transfer St-m5f4o4r5a7q2 20200916	606.57
09-17	ACH Credit Stripe Transfer St-c1c7b6l1a9e5 20200917	421.78
09-21	ACH Credit Stripe Transfer St-y6d2a8j5q6d6 20200921	179.33
09-24	ACH Credit Stripe Transfer St-b7h3q7f1s6a6 20200924	101.65
09-28	ACH Credit Stripe Transfer St-b1q7t9o4k1i8 20200928	479.74
09-30	ACH Credit Stripe Transfer St-j7p7p4e8c5f7 20200930	752.22
Total ACH and Electronic Deposits/Additions		\$9,696.30

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-01	Cash Mgmt Trsfr Dr Ref 2452345l Funds Transfer To Dep 20112763 From Transfer To Fund O Perations	80,000.00
Total Other Withdrawals/Subtractions		\$80,000.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	96,635.97	09-09	86,727.88	09-17	73,393.82
09-01	84,223.50	09-10	86,177.36	09-21	73,573.15
09-02	84,820.72	09-11	86,284.68	09-22	72,844.81
09-03	105,262.54	09-14	84,590.67	09-24	72,946.46
09-04	110,593.31	09-15	72,365.47	09-28	64,031.53
09-08	88,910.82	09-16	72,972.04	09-30	64,474.15

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>	<u>Check #</u>	<u>Amount</u>	<u>Date</u>
2040	\$375.00	09-01	2045	\$394.63	09-08
2041	\$555.00	09-10	2046	\$838.00	09-10
2042	\$205.00	09-10	2047	\$165.00	09-28
2043	\$2,069.50	09-09	*2049	\$9,111.04	09-28
2044	\$113.44	09-09	2050	\$309.60	09-30

(* Skip in check sequence, R-Check has been returned, + Electronified check)

Total Checks paid: 10 for -\$14,136.21

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September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782

PROFESSIONAL FINANCIAL INVESTORS INC
SANTALAND
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202

Last statement: August 31, 2020
This statement: September 30, 2020

Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.

ANALYZED BUSINESS CHECKING

Account number	7823	Beginning balance	\$167.09
Low balance	-\$6,395.61	Deposits/Additions	\$18,562.70
Average balance	\$1,976.01	Withdrawals/Subtractions	\$16,211.76
Interest earned	\$0.00	Ending balance	\$2,518.03

Other Deposits/ Additions

Date	Description	Additions
09-15	Return Item Five Star Bank Loan Pmt 20200915	6,562.70
09-16	Cash Mgmt Trsfr Cr Ref 2601143I Funds Transfer Frm Dep 20112763 From Transfer For Mortg Age	7,000.00
09-18	Cash Mgmt Trsfr Cr Ref 2621519I Funds Transfer Frm Dep 20112763 From Additional Funding For Acct	2,000.00
09-29	Cash Mgmt Trsfr Cr Ref 2732040I Funds Transfer Frm Dep 20112763 From Trans To Replenish Account	3,000.00
Total Other Deposits/ Additions		\$18,562.70

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-15	ACH Debit Five Star Bank Loan Pmt 20200915	6,562.70
09-18	ACH Debit Pgande Web Online 20200918	563.00
09-21	ACH Debit Five Star Bank 12303795 20200918	6,562.70
09-22	ACH Debit Recology Sonoma Web_pay 20200922	293.53
Total ACH and Electronic Payments/Subtractions		\$13,981.93

Other Withdrawals/Subtractions

<u>Date</u>	<u>Description</u>	<u>Subtractions</u>
09-15	NSF Fee For Return Of ACH Debit 121143030000001	35.00
09-28	Overdraft Fee For Overdraft Check # 1009	35.00
Total Other Withdrawals/Subtractions		\$70.00

Daily Balances

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
08-31	167.09	09-18	8,569.09	09-28	-481.97
09-15	132.09	09-21	2,006.39	09-29	2,518.03
09-16	7,132.09	09-22	1,712.86	09-30	2,518.03

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$35.00	\$140.00
Total Returned Item Fees	\$35.00	\$35.00

Checks

<u>Check #</u>	<u>Amount</u>	<u>Date</u>
1009	\$2,159.83	09-28

(* Skip in check sequence, R-Check has been returned, + Electronified check))

Total Checks paid: 1 for -\$2,159.83

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September 30, 2020 Page: 1 of 2

Customer Service:
1-866-486-7782THREE FIFTY IGNACIO CONDOMINIUM ASSOC
350 IGNACIO BLVD SUITE 300
NOVATO CA 94949-7202Last statement: August 31, 2020
This statement: September 30, 2020Umpqua Bank Rules & Regulations updates go into effect 7-1-2020. This update mainly includes changes to Umpqua Bank's Funds Availability Policy. Next business day funds availability has increased to \$225, if there is a hold on a check. For more info, and to review other changes, you may request a copy by calling us at 1-866-486-7782 or visiting umpquabank.com/disclosures.**ANALYZED BUSINESS CHECKING**

Account number	6163	Beginning balance	\$58,542.39
Low balance	\$46,365.78	Deposits/Additions	\$782.00
Average balance	\$53,521.51	Withdrawals/Subtractions	\$12,958.61
Interest earned	\$0.00	Ending balance	\$46,365.78

ACH and Electronic Payments/Subtractions

Date	Description	Subtractions
09-10	ACH Debit Comcast 8155300 130520018 20200910	219.63
09-22	ACH Debit Pgande Web Online 20200922	57.89
09-22	ACH Debit Pgande Web Online 20200922	147.05
09-22	ACH Debit Pgande Web Online 20200922	216.66
09-22	ACH Debit Pgande Web Online 20200922	2,566.12
09-29	ACH Debit Comcast 8155300 130785918 20200929	110.54
Total ACH and Electronic Payments/Subtractions		\$3,317.89

ACH and Electronic Deposits/Additions

Date	Description	Additions
09-04	ACH Credit Professional Fin ACH 2048006163 Credit Offset For Originated Debits	782.00
Total ACH and Electronic Deposits/Additions		\$782.00

Daily Balances

Date	Amount	Date	Amount	Date	Amount
08-31	58,542.39	09-08	54,876.58	09-22	50,684.19
09-01	58,482.39	09-09	54,144.93	09-28	46,476.32
09-02	57,804.58	09-10	53,806.91	09-29	46,365.78
09-04	58,586.58	09-16	53,671.91	09-30	46,365.78

Overdraft Fee Summary

	Total For This Period	Total Year-to-Date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

Checks

Check #	Amount	Date	Check #	Amount	Date
566	\$677.81	09-02	573	\$18.39	09-10
*570	\$60.00	09-01	*575	\$100.00	09-10
571	\$731.65	09-09	576	\$135.00	09-16
572	\$3,710.00	09-08	577	\$4,207.87	09-28

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Total Checks paid: 8 for -\$9,640.72

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